



# Thermodynamic theory of multiple proton-electron transfer reactions

Marc Koper  
Leiden University (NL)



Universiteit Leiden

# Outline

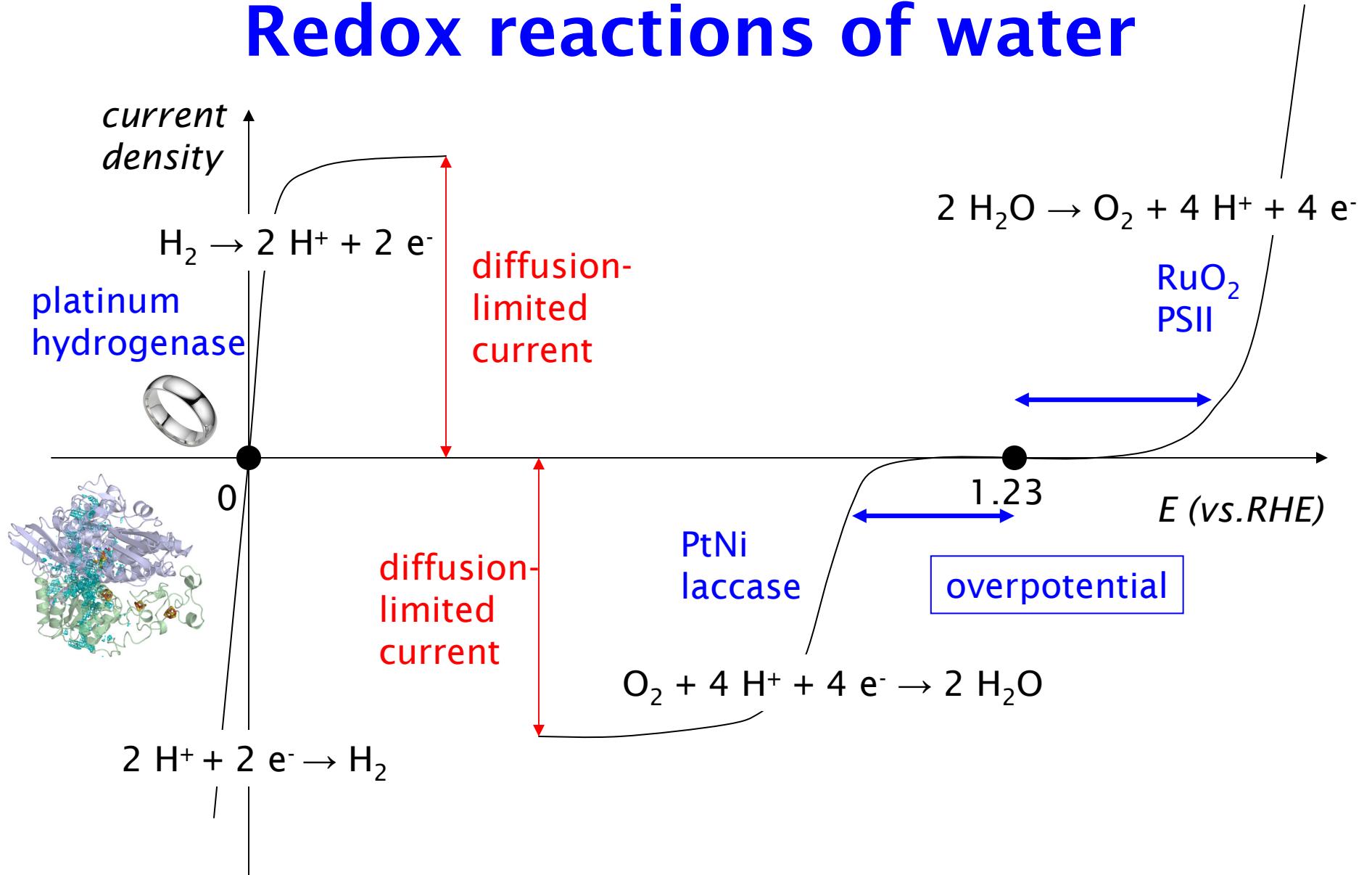
## Thermodynamics of multi-electron transfer

- 1 e<sup>-</sup> transfer
- 2 e<sup>-</sup> transfer
- > 2 e<sup>-</sup> transfer

## Theory of proton-(de)coupled electron transfer

- 1 H<sup>+</sup>/e<sup>-</sup> transfer
- 2 H<sup>+</sup>/e<sup>-</sup> transfer
- Comparison to experiment

# Redox reactions of water



# Catalysis of multi-step reactions

Practically every (interesting) chemical reaction happens in a series of steps; catalysis is about optimizing that sequence

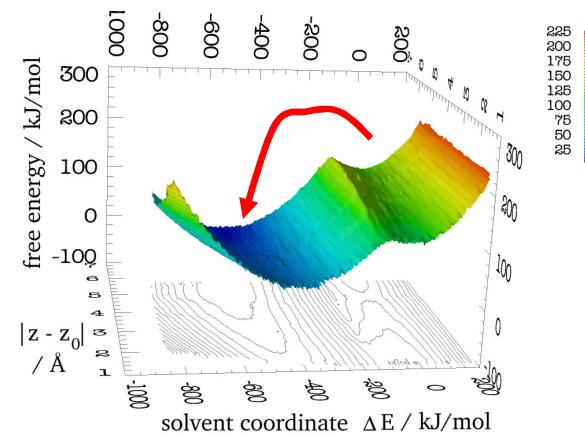
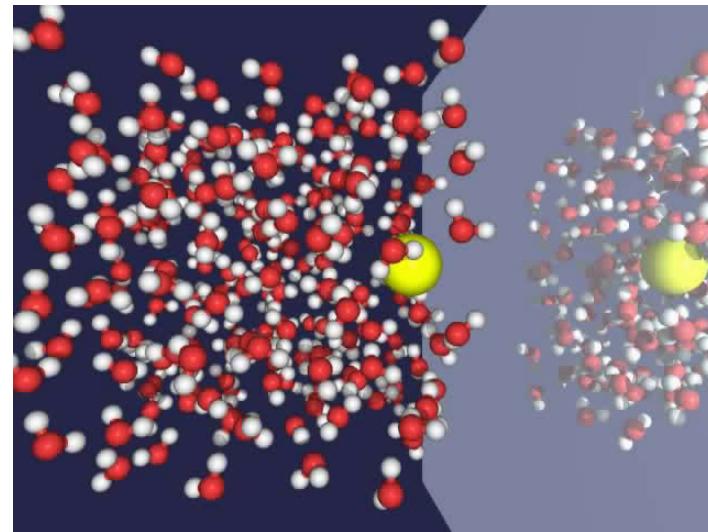
1 e<sup>-</sup> / 1 step / 0 intermediate

2 e<sup>-</sup> / 2 steps / 1 intermediate

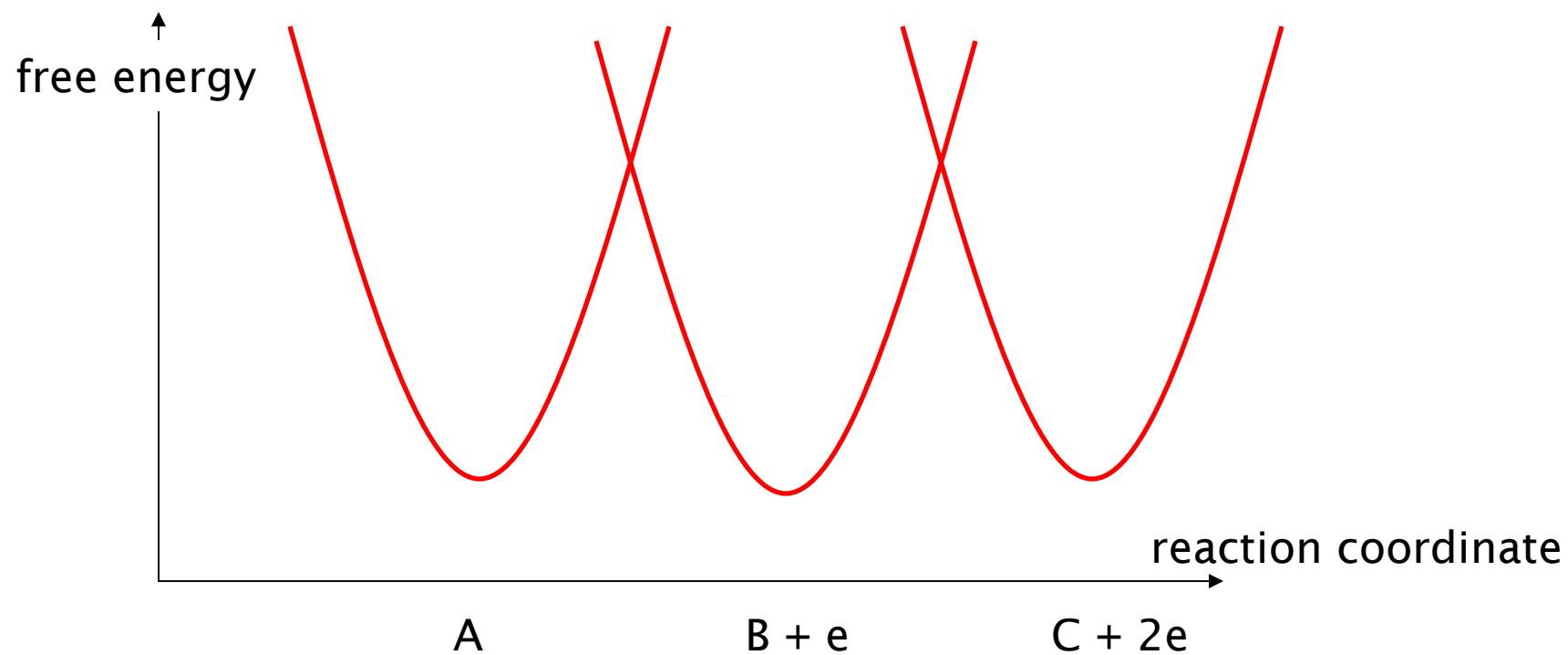
>2 e<sup>-</sup> / >2 steps / >1 intermediate

# Single electron transfer

- Marcus Theory
- Activation energy determined by solvent reorganization energy  $\lambda$  (a difficult quantity to calculate accurately)
- Marcus Theory does not account for bond breaking, proton transfer, or catalysis.

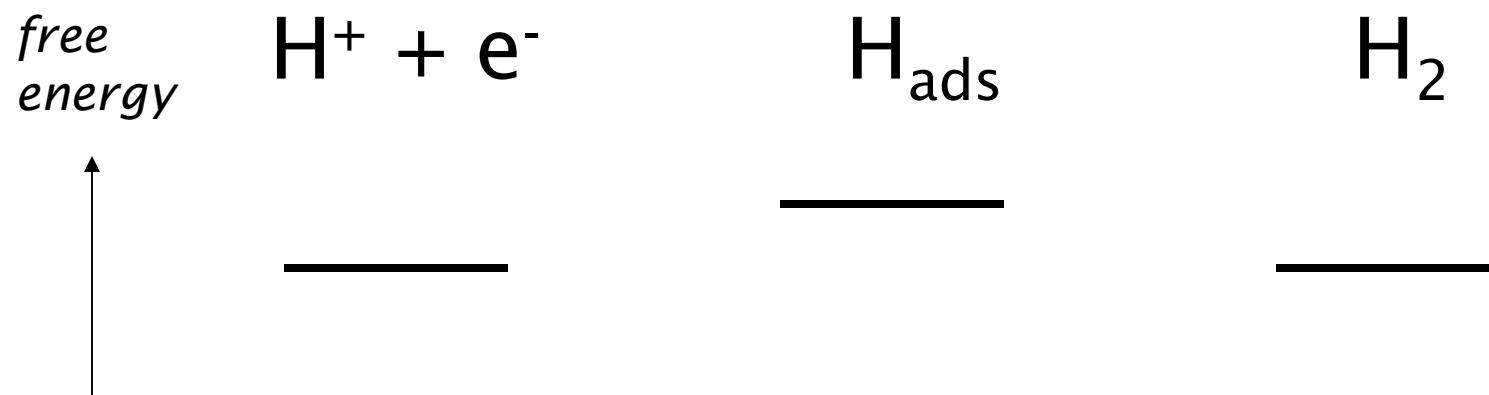
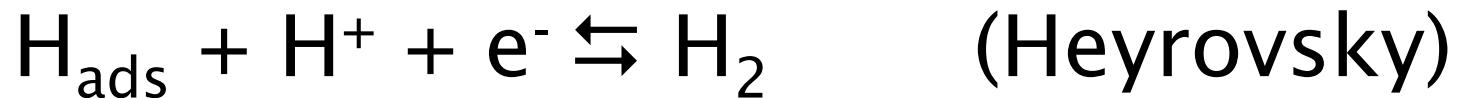


# Multiple electron transfer



- Electrons transfer one-by-one, implying storage of charge and the existence of intermediates.
- Electrocatalysts optimize the energy of intermediates

# Two electron transfer



# Thermodynamics



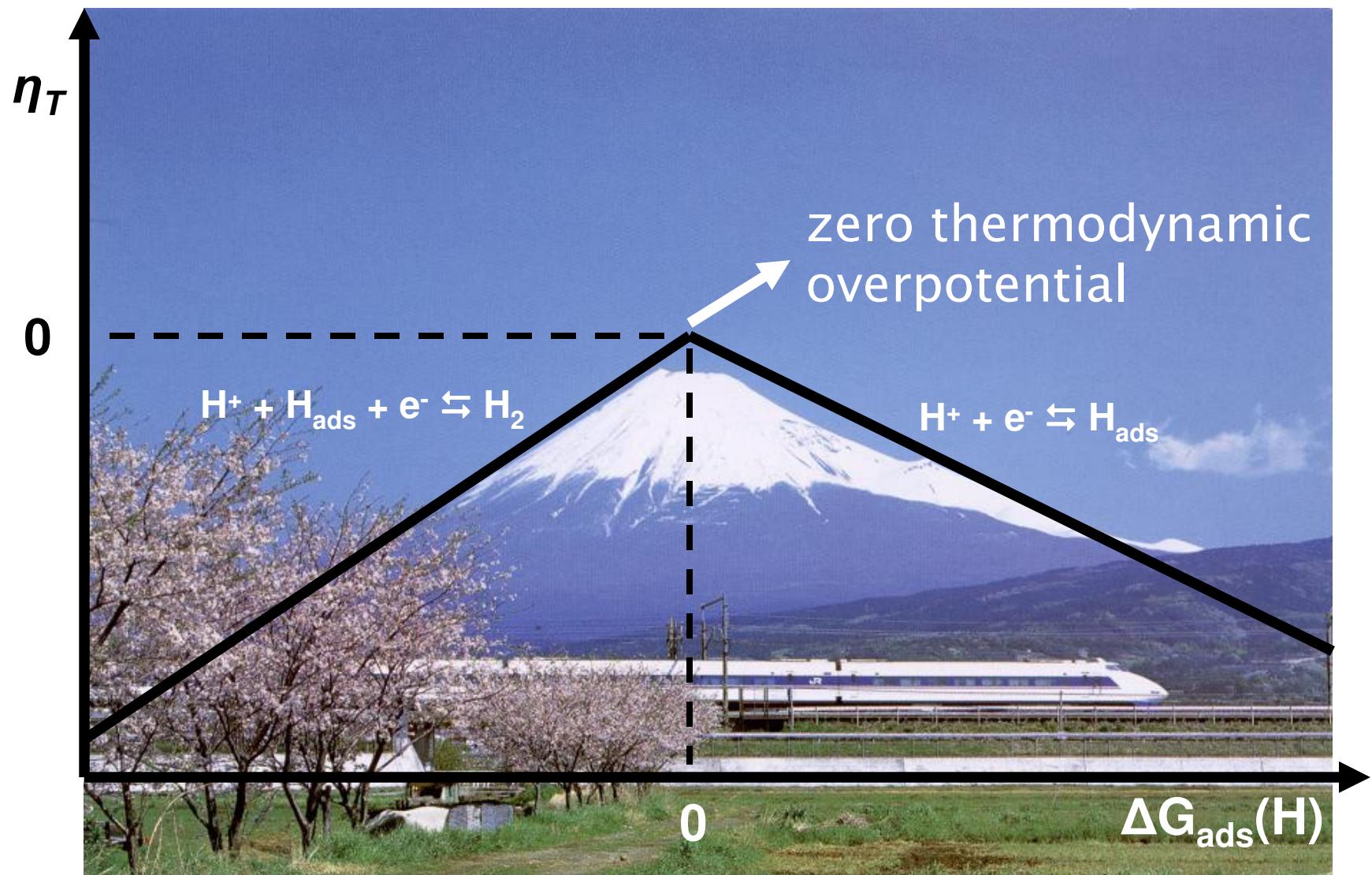
Thermodynamic restriction:  $(E_1^0 + E_2^0)/2 = E^0$

# Potential-determining step

*The potential-determining step  
is the step with  
the least favorable equilibrium potential*

The difference in the equilibrium potential of the potential-determining step and the overall equilibrium potential is the *thermodynamic overpotential*  $\eta_T$

# Thermodynamic volcano plot



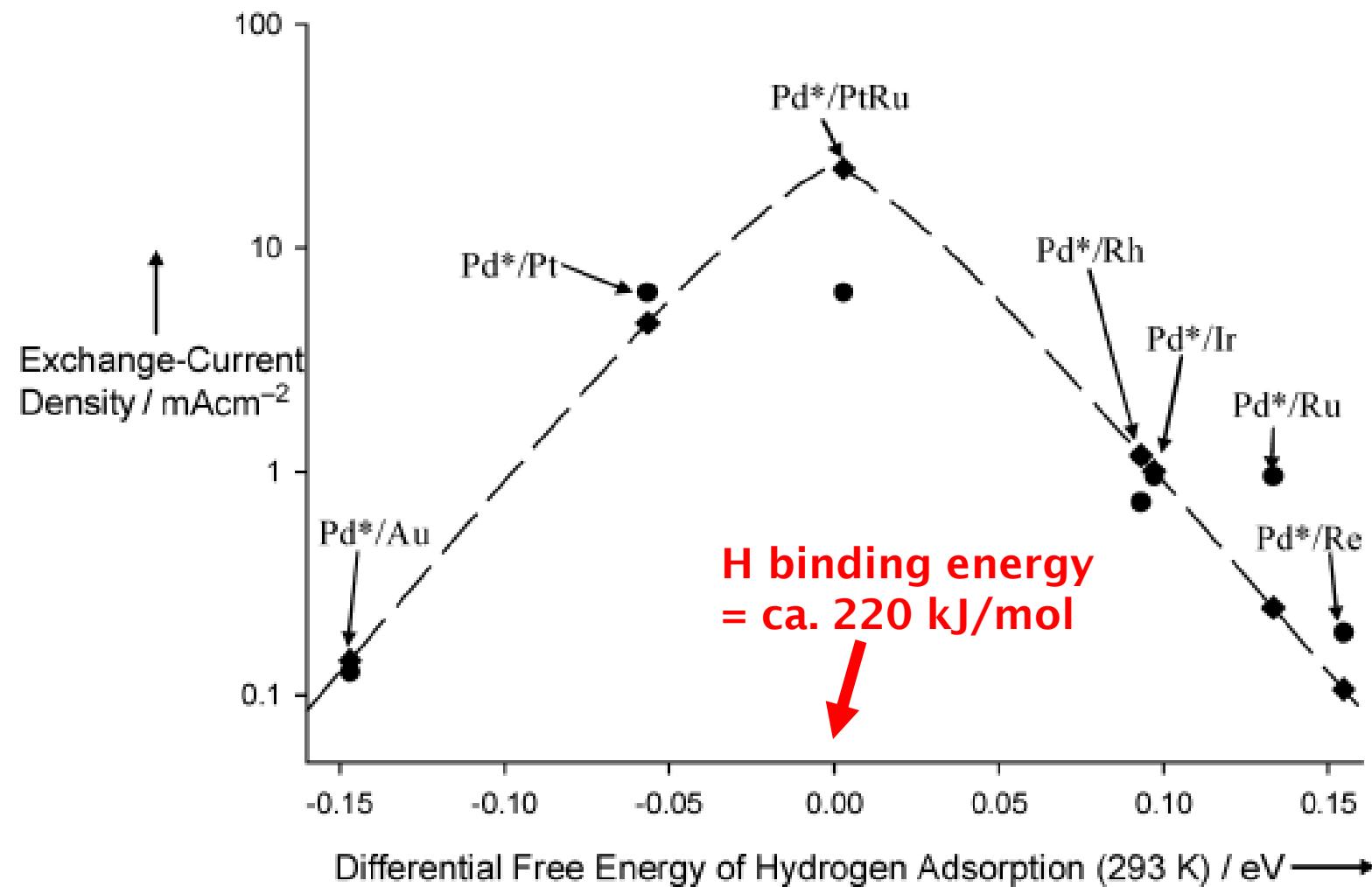
R.Parsons, Trans.Faraday Soc. (1958); H.Gerischer (1958)  
J.K.Nørskov et al., J.Electrochem.Soc. (2004)

M.T.M.Koper, H.A.Heering, In Fuel Science Science  
M.T.M.Koper, E.Bouwman, Angew.Chem.Int.Ed. (2010)

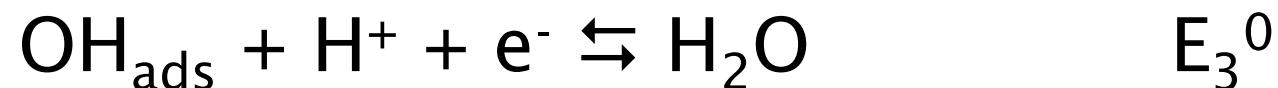
# Side notes

- Can be generalized to other mechanisms
- The optimal electrocatalyst is achieved if each step is thermodynamically neutral: the H intermediate must bind to the catalyst with a bond strength equal to  $\frac{1}{2} E(H-H)$
- Barriers are not included but if one believes in a relation between reaction energies and barriers (Bronsted-Evans-Polanyi) they are included implicitly
- Analysis works equally well for metal surfaces, molecular catalysts, and enzymes
- $\Delta G_{\text{ads}}(H)$  can be calculated from DFT

# Experimental volcano for H<sub>2</sub> evolution



## More than 2 electron transfers



# The optimal catalyst

$$\Delta G(\text{OH}_{\text{ads}}) = C_O = 1.23 \text{ eV}$$

$$\Delta G(\text{O}_{\text{ads}}) = 2 \times C_O = 2.46 \text{ eV}$$

$$\Delta G(\text{OOH}_{\text{ads}}) = 3 \times C_O = 3.69 \text{ eV}$$

$$\Delta G(\text{O}_2) = 4 \times C_O = 4.92 \text{ eV}$$

**Independent of the mechanism**

# The optimal scaling relations

$$\Delta G(\text{OH}_{\text{ads}}) (\approx 0.50 \times \Delta G(\text{O}_{\text{ads}}) + 0.05 \text{ eV})$$

$$= 0.5 \times \Delta G(\text{O}_{\text{ads}}) + K_{\text{OH}}$$

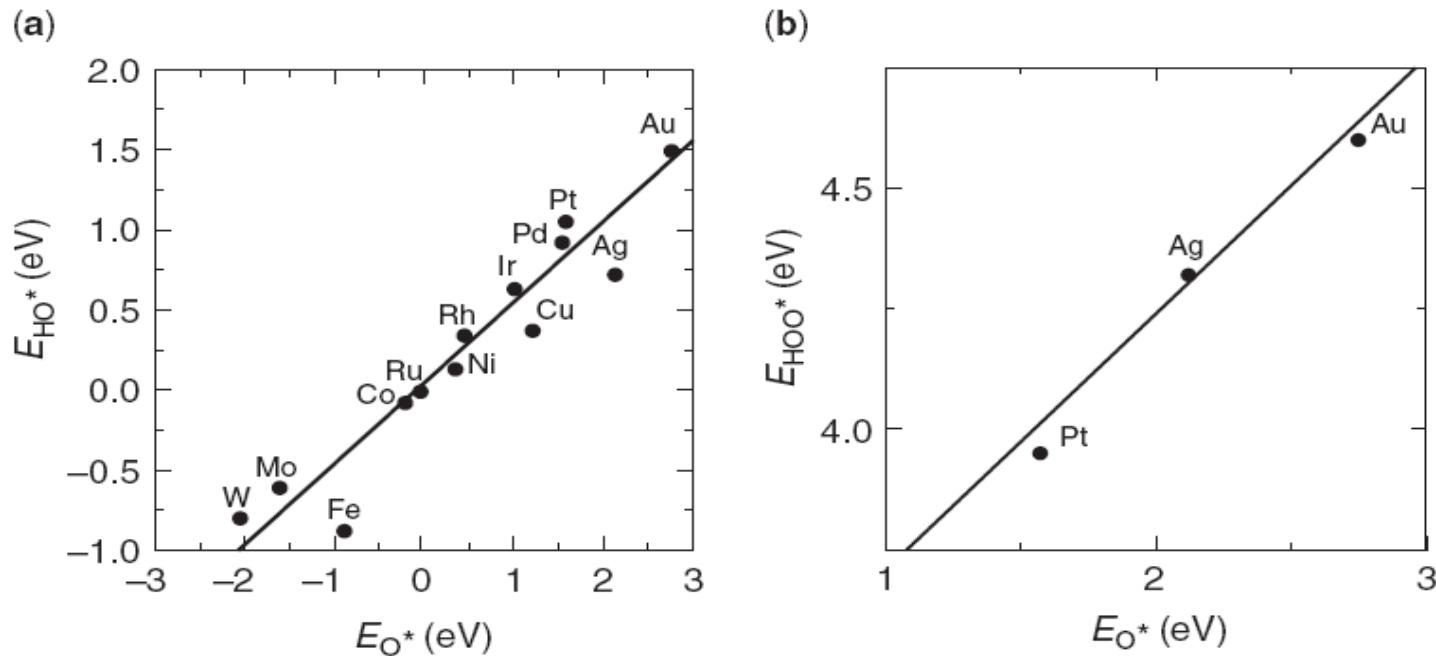
$$\Delta G(\text{OOH}_{\text{ads}}) (\approx 0.53 \times \Delta G(\text{O}_{\text{ads}}) + 3.18 \text{ eV})$$

$$= 0.5 \times \Delta G(\text{O}_{\text{ads}}) + K_{\text{OOH}}$$

$$K_{\text{OH}} = 0 \text{ eV}$$

$$K_{\text{OOH}} = 2.46 \text{ eV}$$

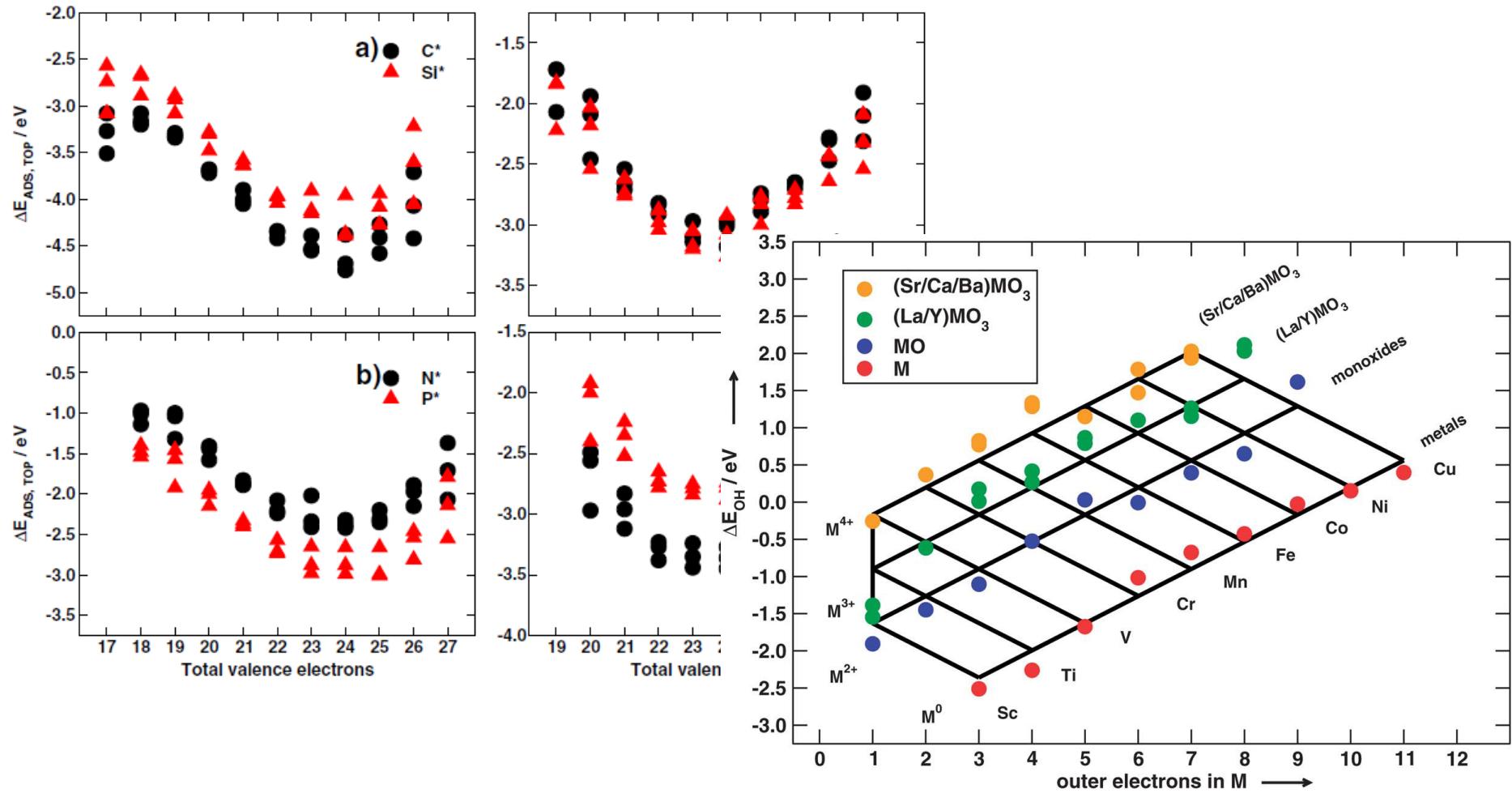
# Scaling relationships



**Figure 3.7** (a) Adsorption energy of  $\text{HO}^*$  as function of the adsorption energy of  $\text{O}^*$ , both on the terrace. The best linear fit is  $E_{\text{HO}^*} = 0.50E_{\text{O}^*} + 0.05$  eV. (b) Adsorption energy of  $\text{HOO}^*$  as function of the adsorption energy of  $\text{O}^*$ , both on the terrace. The best linear fit is  $E_{\text{HOO}^*} = 0.53E_{\text{O}^*} + 3.18$  eV.

For (111) metal surfaces

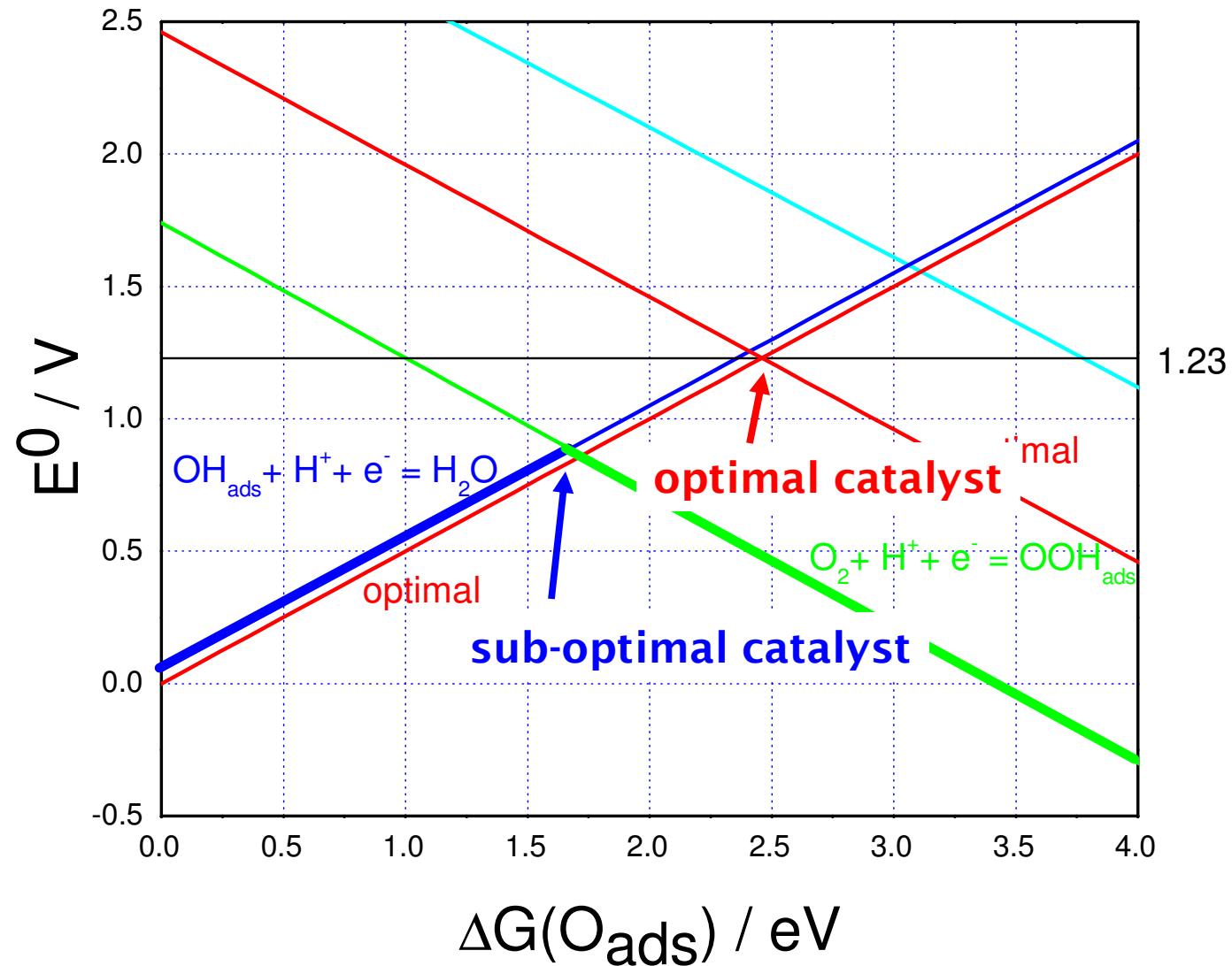
# Scaling relations = valence bond?



F.Calle-Vallejo, J.I.Martinez, J.M.Garcia-Lastra, J.Rossmieisl, M.T.M.Koper, Phys.Rev.Lett. 108 (2012) 116103

F.Calle-Vallejo, N.G.Inoglu, H.Su, J.I.Martinez, I.C.Man, M.T.M.Koper, J.R.Kitchin, J.Rossmieisl, Chem.Sci. 4 (2013) 1245

# The optimal volcano



# Does optimal scaling exist?

Metals:

$$\Delta G(\text{OH}_{\text{ads}}) \approx 0.50 \times \Delta G(\text{O}_{\text{ads}}) + 0.05 \text{ eV}$$

$$\Delta G(\text{OOH}_{\text{ads}}) \approx 0.53 \times \Delta G(\text{O}_{\text{ads}}) + 3.18 \text{ eV}$$

Oxides:

$$\Delta G(\text{OH}_{\text{ads}}) \approx 0.61 \times \Delta G(\text{O}_{\text{ads}}) - 0.90 \text{ eV}$$

$$\Delta G(\text{OOH}_{\text{ads}}) \approx 0.64 \times \Delta G(\text{O}_{\text{ads}}) + 2.03 \text{ eV}$$

$$K_{\text{OOH}} - K_{\text{OH}} = 3.13 \text{ eV}, 2.93 \text{ eV}; \text{Optimal} = 2.46 \text{ eV}$$

# “Fundamental” overpotential?

$$\eta_T(\text{ORR,OER}) = \frac{\sim 3.15 \text{ eV} - 2.46 \text{ eV}}{2 \text{ e}} = \sim 0.35 \text{ V}$$

One does not even need to know the catalyst-oxygen interaction...

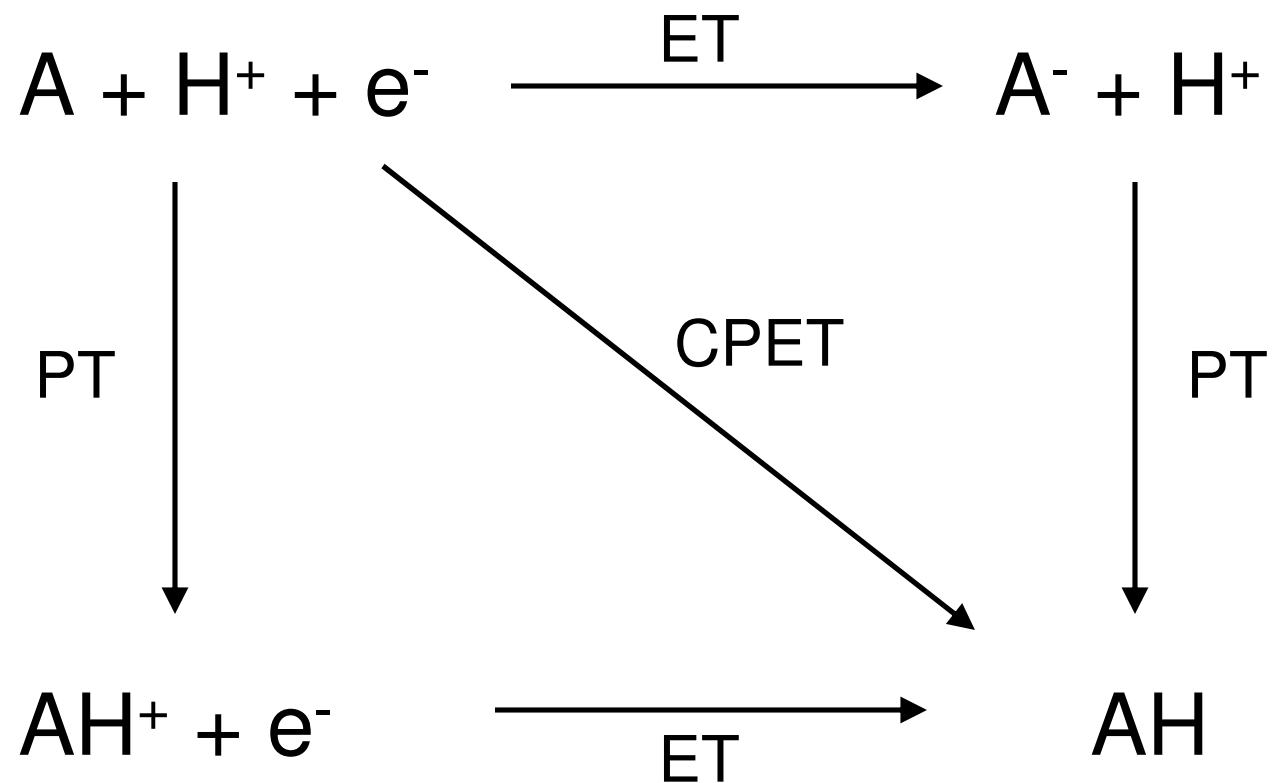
$$\Delta G[\text{HO}_2^-(\text{aq})] - \Delta G[\text{OH}^-(\text{aq})] = 3.4 \text{ eV}$$

# Proton-coupled electron transfer



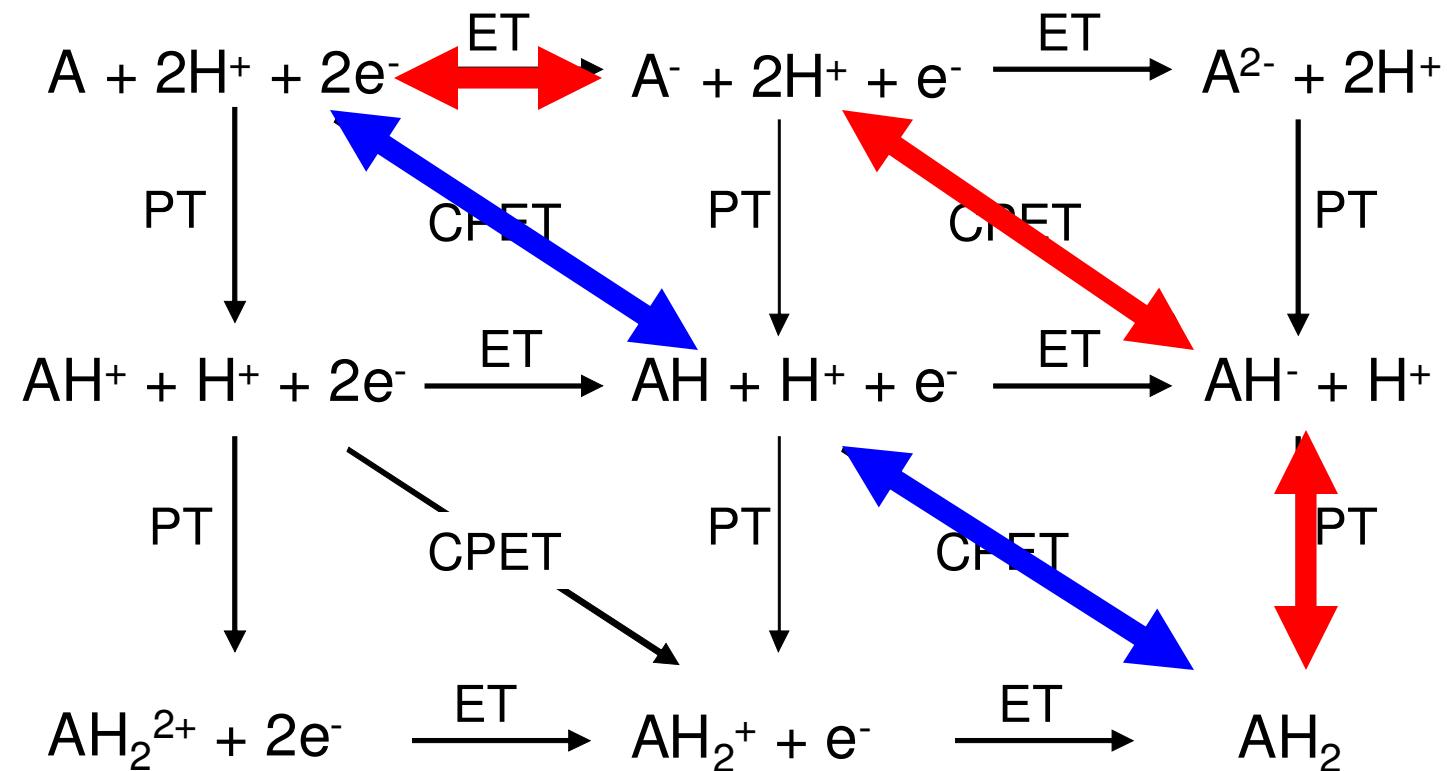
- Are proton and electron transfer always coupled?
- How does (de-)coupled proton-electron transfer manifest?

# Proton-coupled electron transfer



S. Hammes-Schiffer, A.A.Stuchebrukhov, Chem.Rev.110 (2010) 6939  
M.T.M.Koper, Phys.Chem.Chem.Phys. 15 (2013) 1399

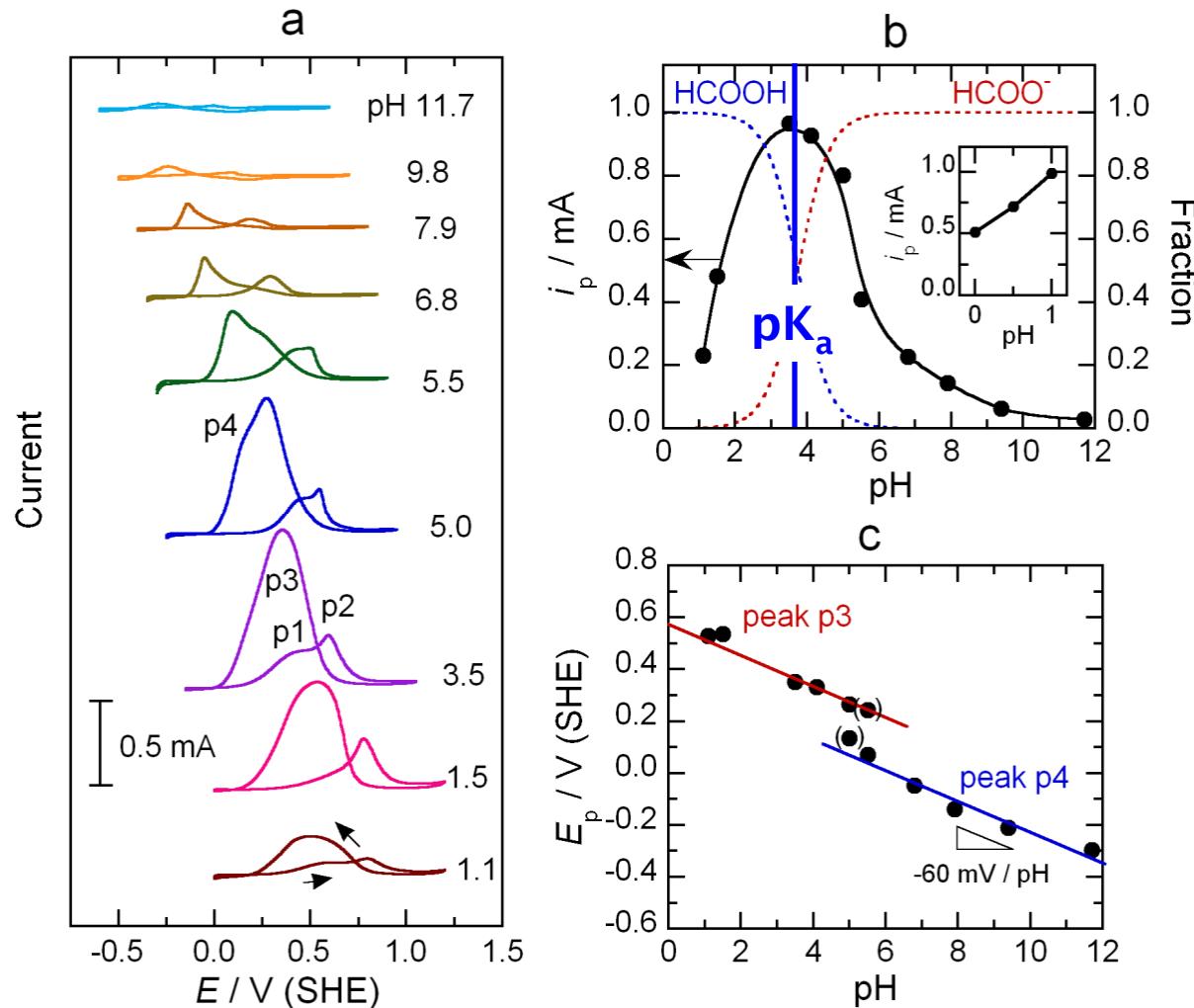
# Proton-coupled electron transfer



# When PT and ET decouple

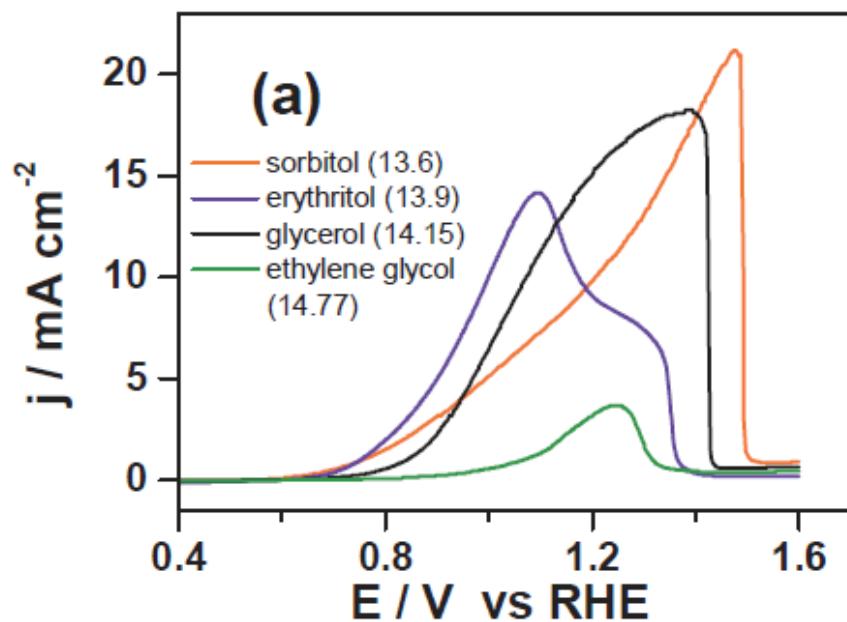
- PT and ET are concerted if off-diagonal states are energetically unfavorable. Reaction rate is independent of pH on the RHE potential scale.
- For a reduction reaction, ET happens first if the intermediate has a high electron affinity.
- For an oxidation reaction, PT happens first if the intermediate has a low proton affinity.
- If PT and ET decouple, the reaction rate becomes pH dependent on the RHE potential scale. Optimal activity is at  $\text{pH}=\text{pK}_a$

# Formic acid oxidation on Pt

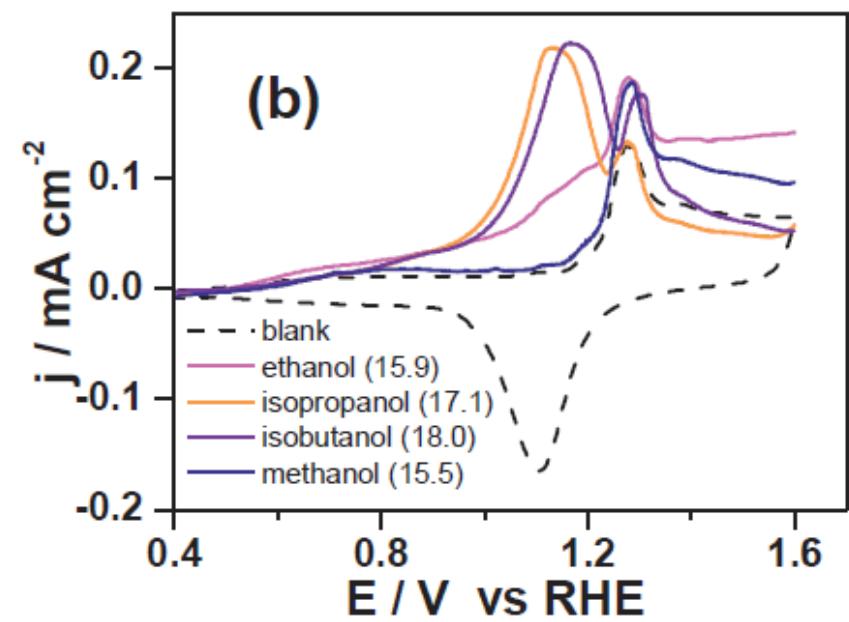


Formic acid oxidation prefers intermediate pH

# Oxidation of poly-ols

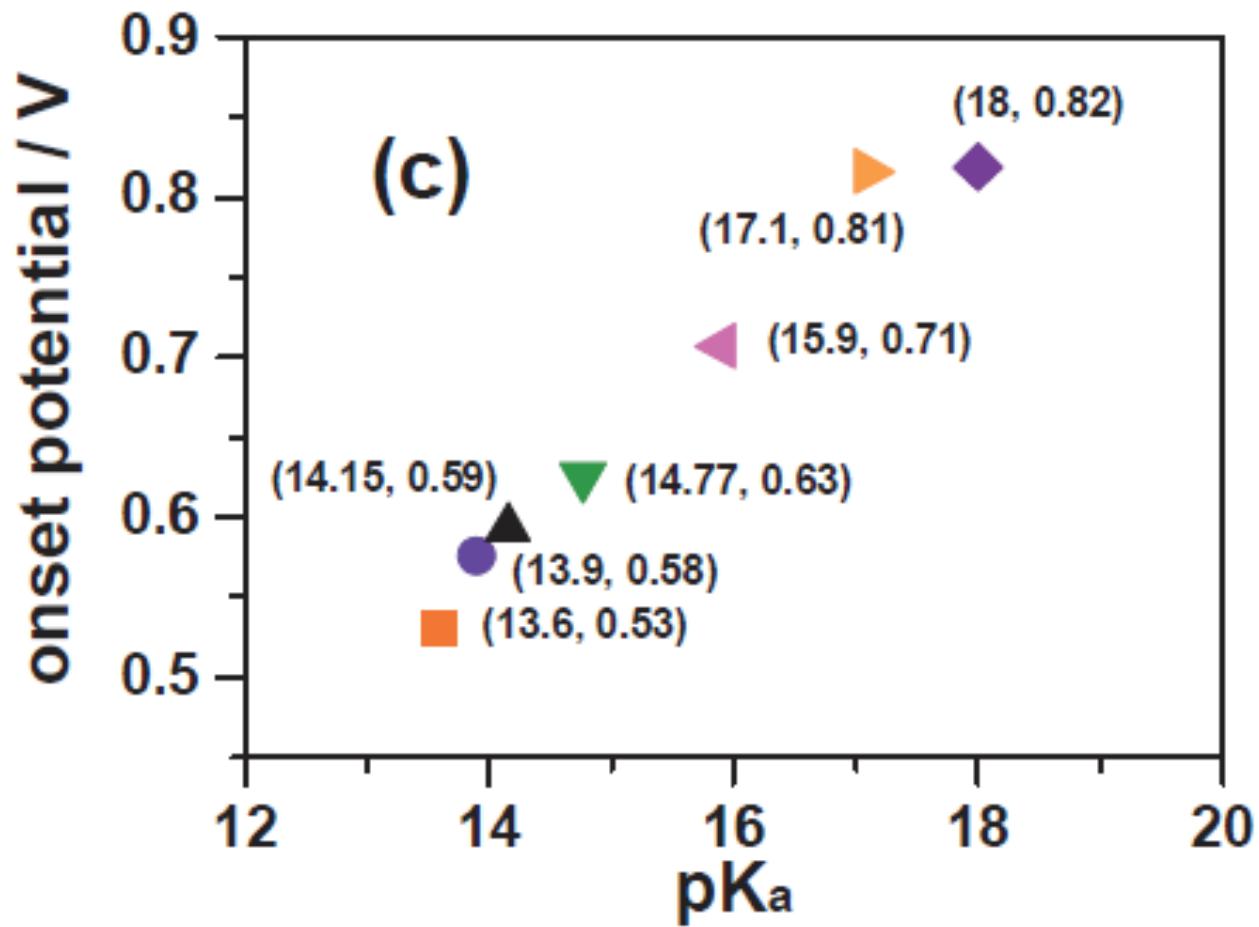


“sugar alcohols”, poly-ols

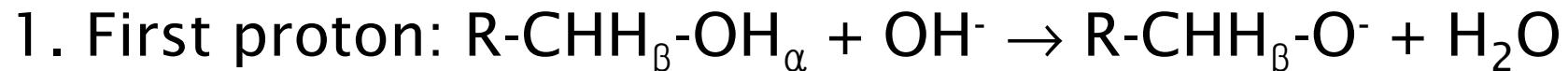


mono-ols

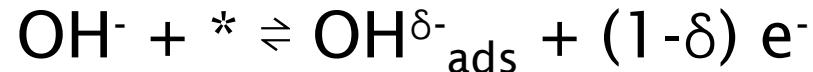
# Hammond relationship



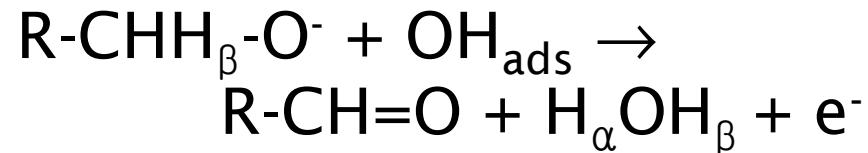
# More detailed mechanism



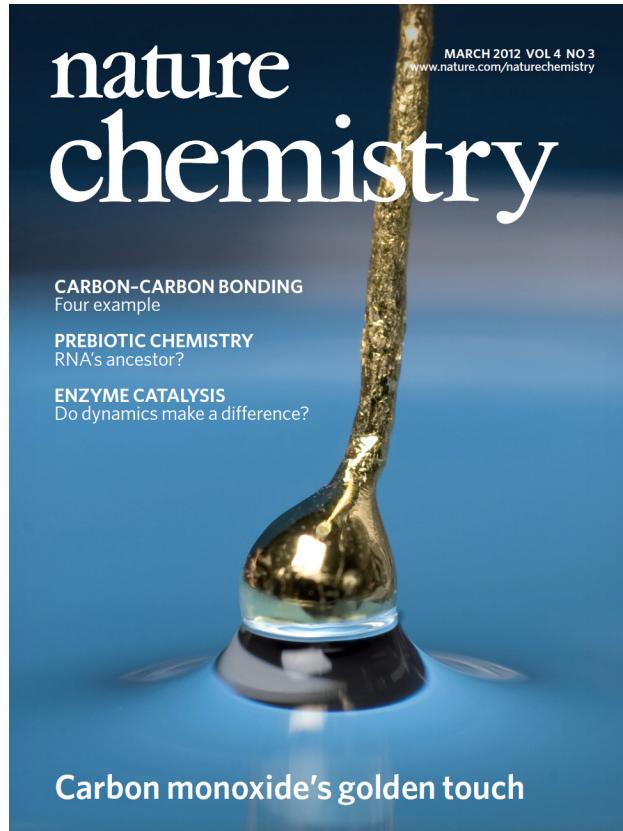
*"base catalyzed"*



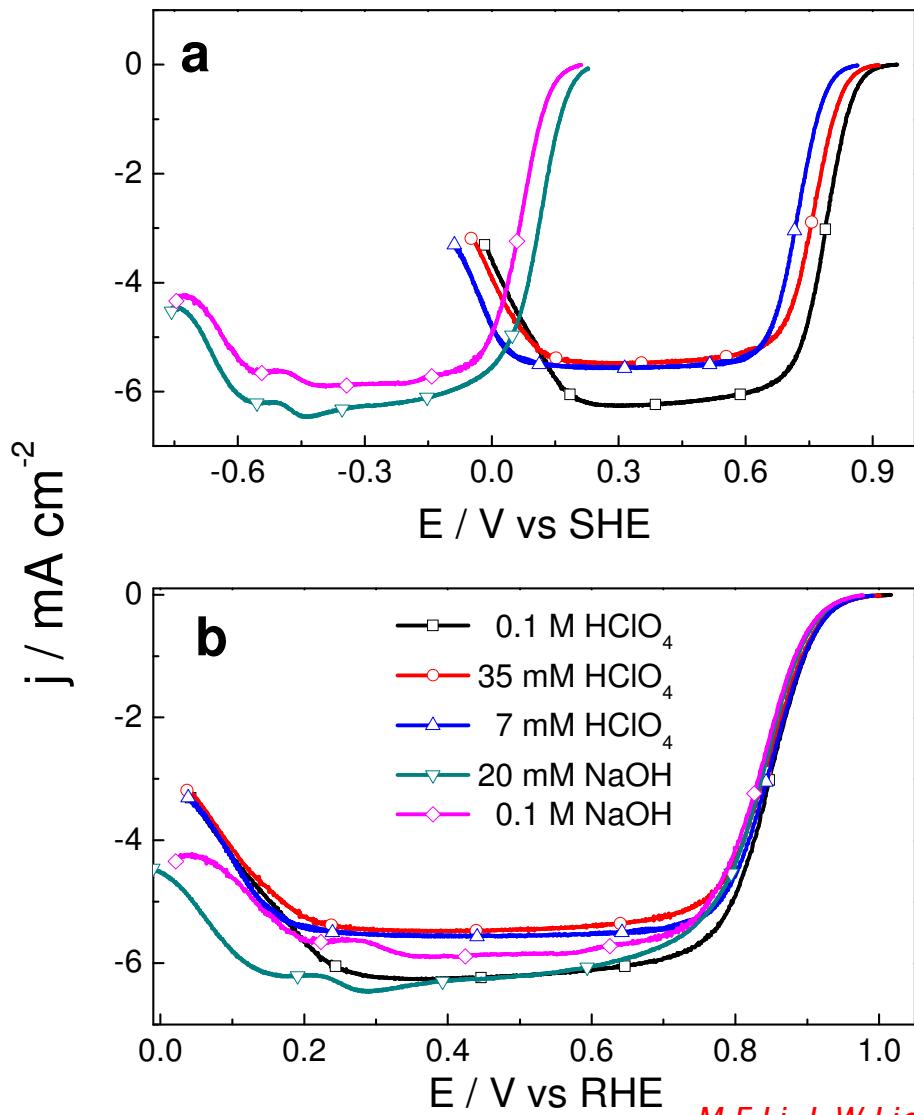
*CO<sub>ads</sub> favors OH<sub>ads</sub> formation*



*gold catalyzed*



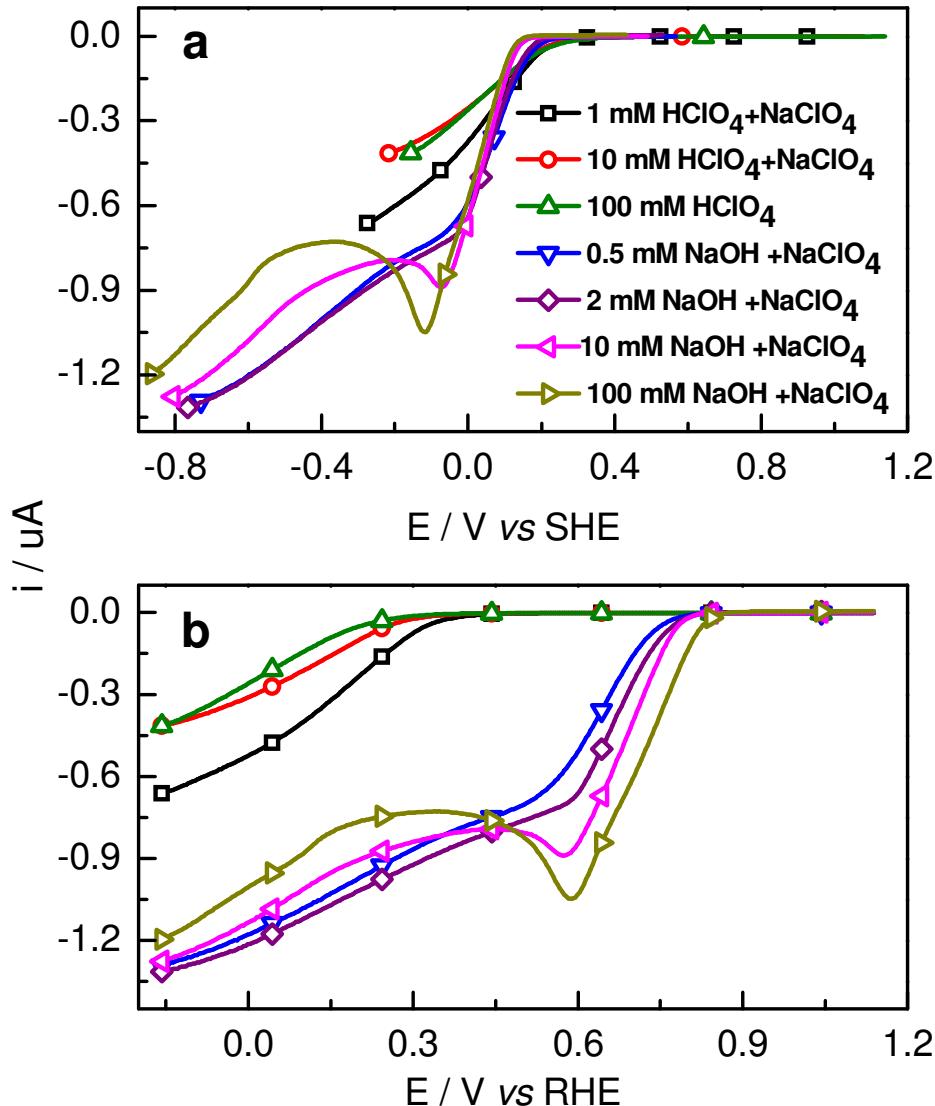
# CPET in oxygen reduction on Pt



The ORR rate on Pt is independent of pH on the RHE scale.

Concerted proton-electron transfer.

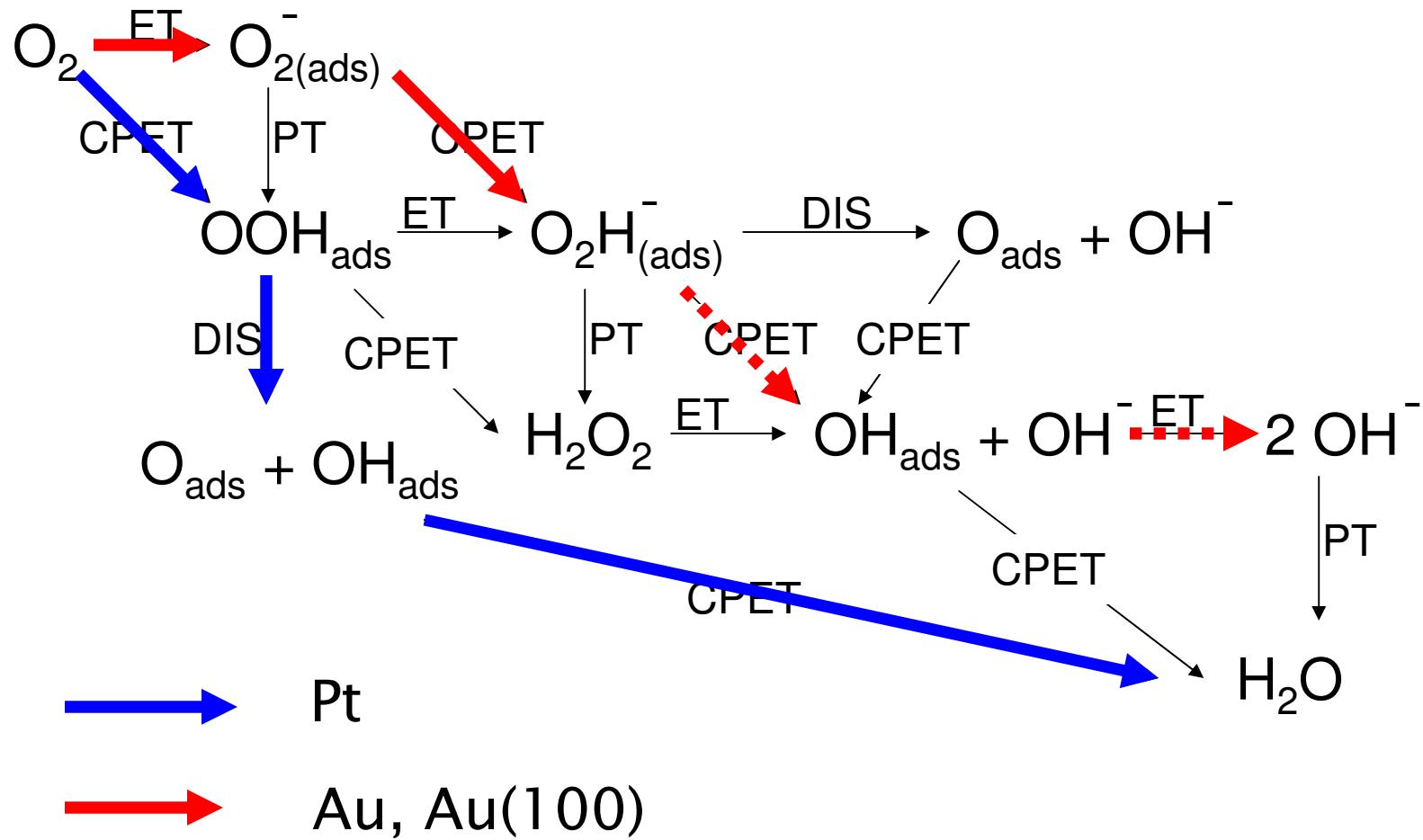
# Decoupled PCET in ORR on Au



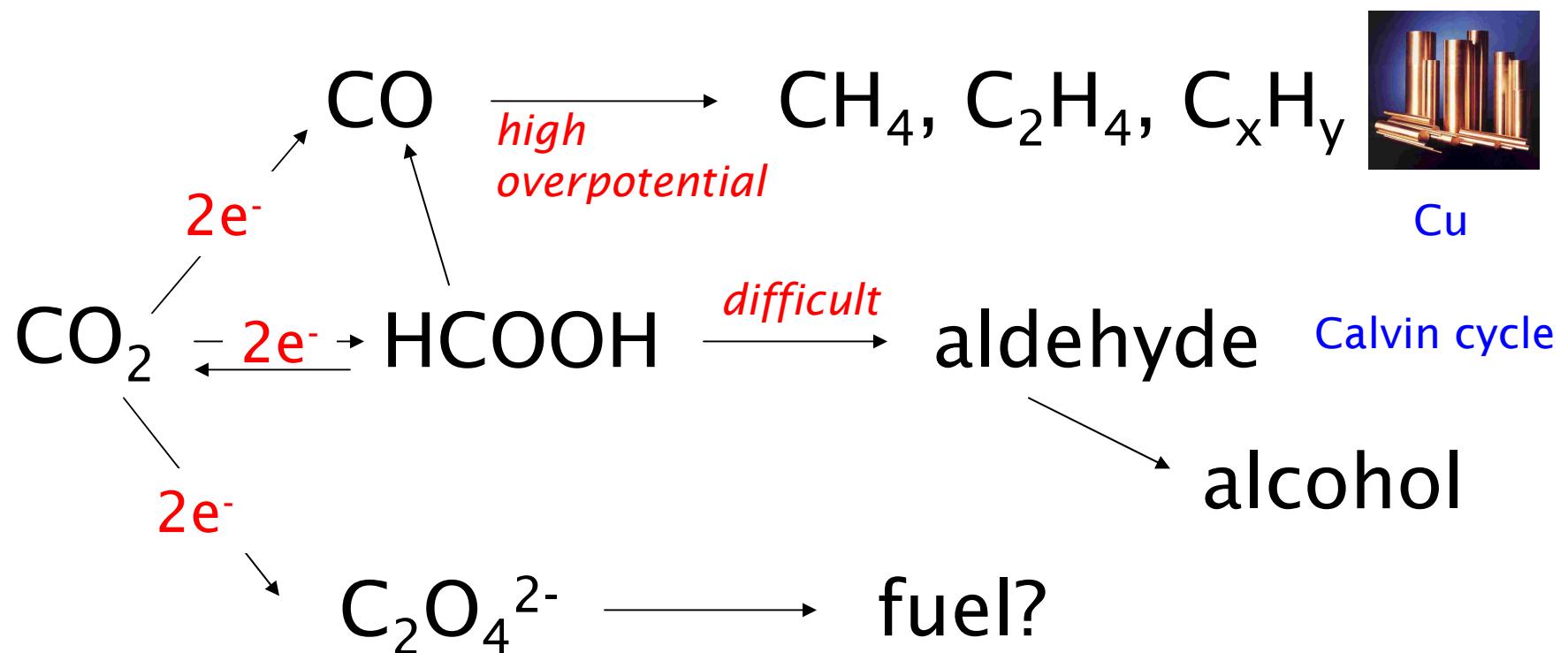
The ORR rate on Au is dependent of pH on the RHE scale.

Decoupled proton-electron transfer.

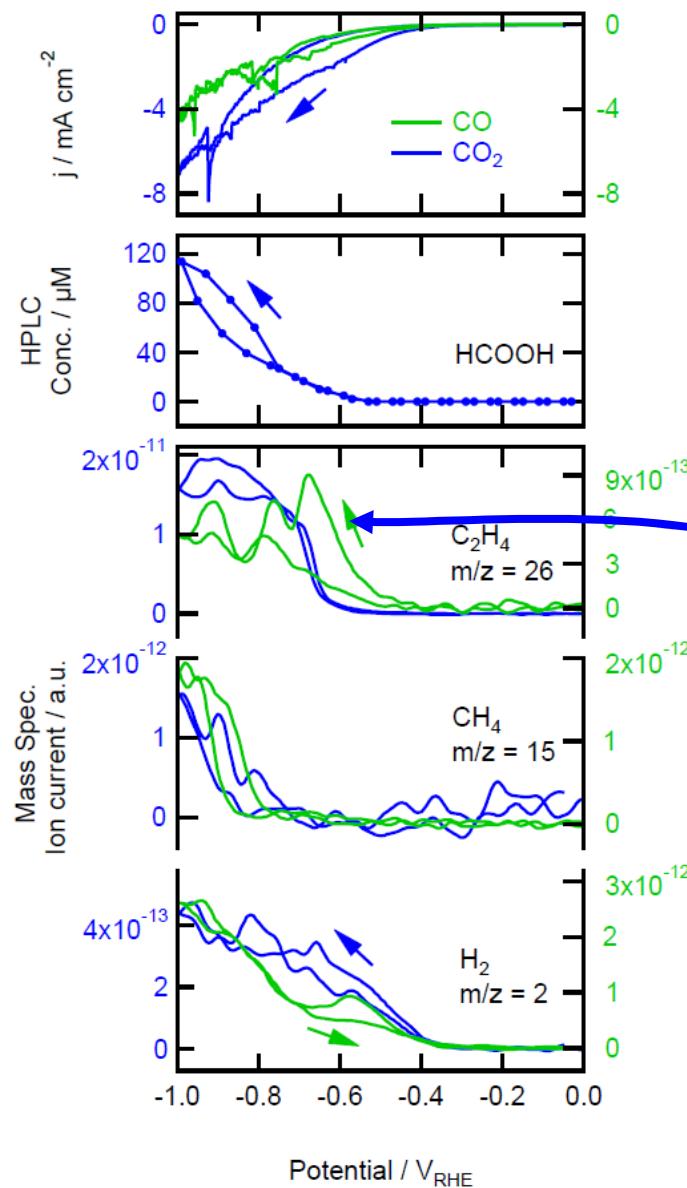
# Mechanism of ORR



# Electrocatalytic CO<sub>2</sub> reduction

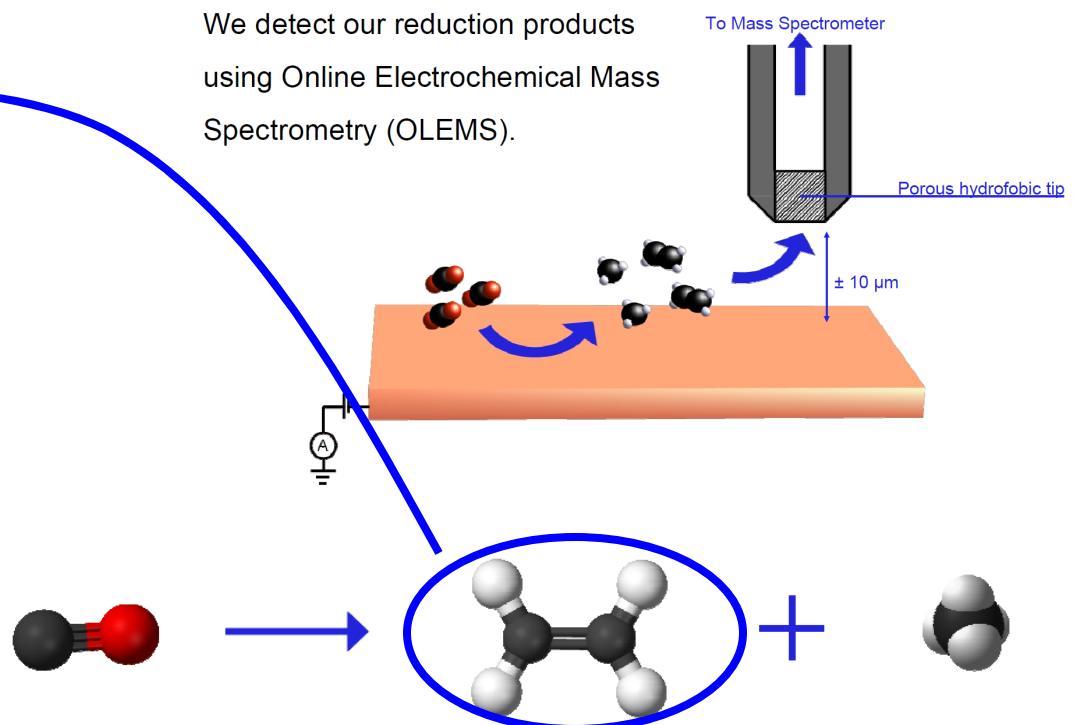


# CO and CO<sub>2</sub> reduction on copper

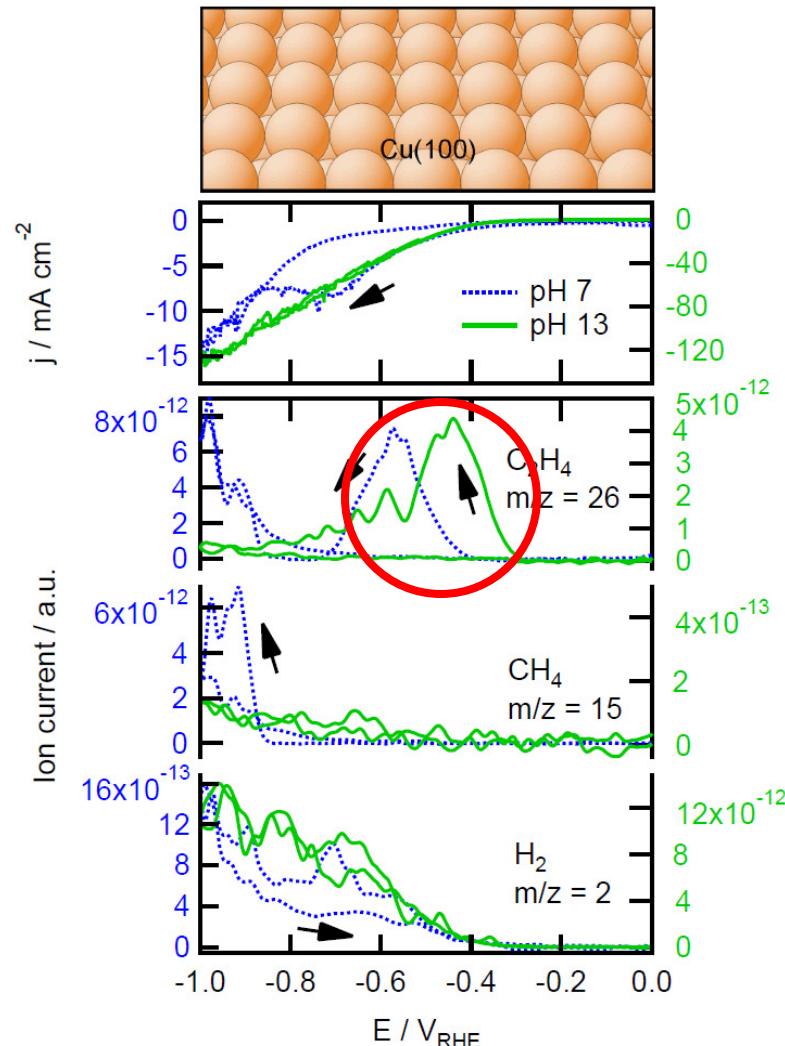
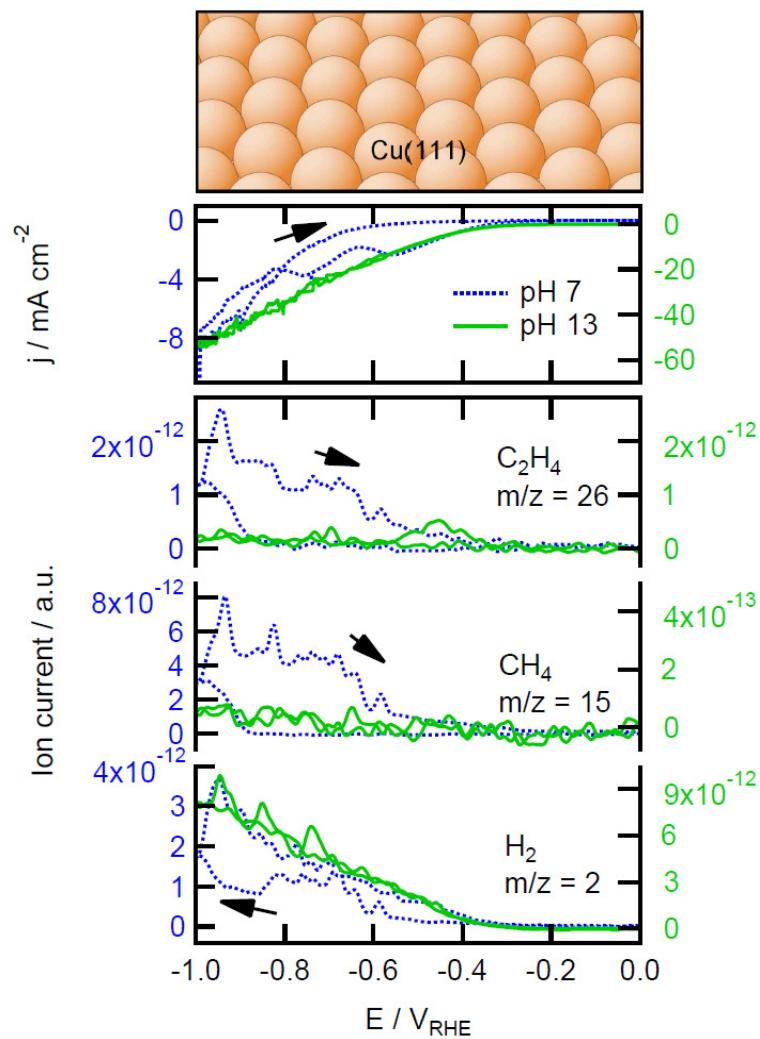


CO<sub>2</sub> and CO reduction on copper electrodes produces H<sub>2</sub>, HCOOH, CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub>.

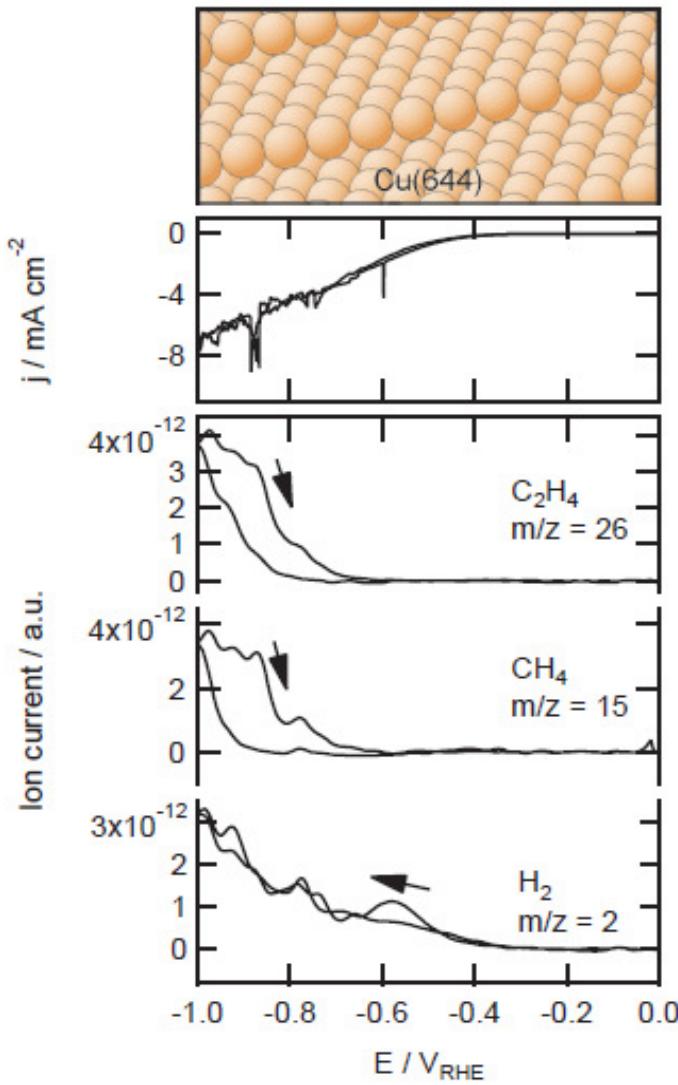
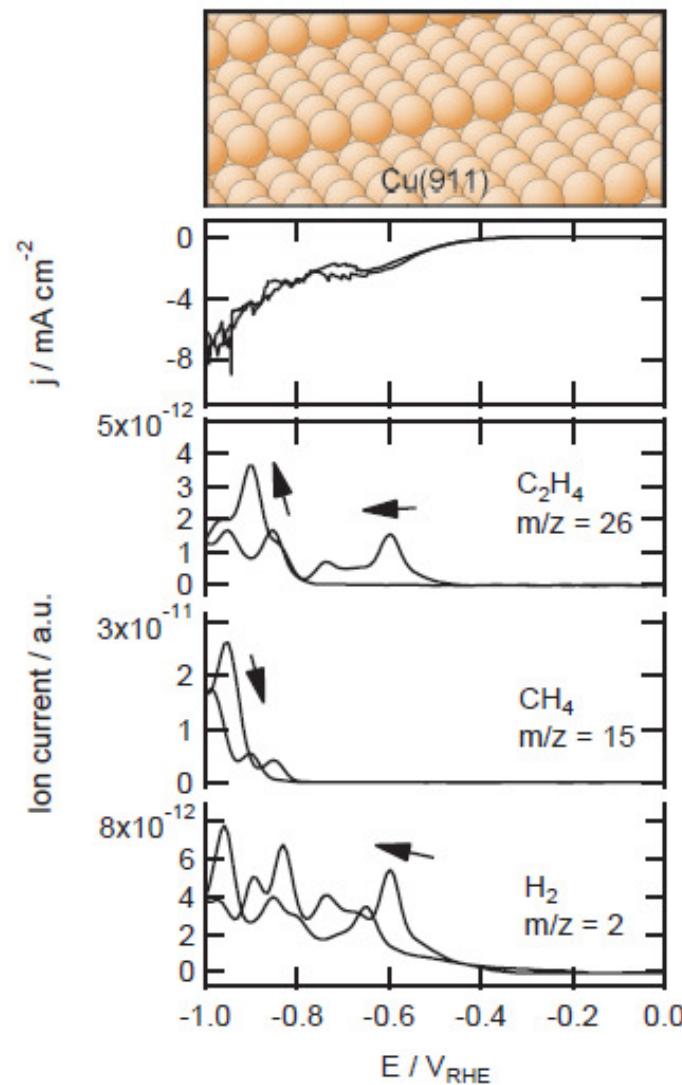
We detect our reduction products using Online Electrochemical Mass Spectrometry (OLEMS).



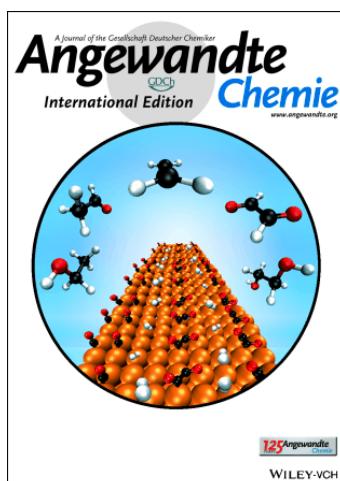
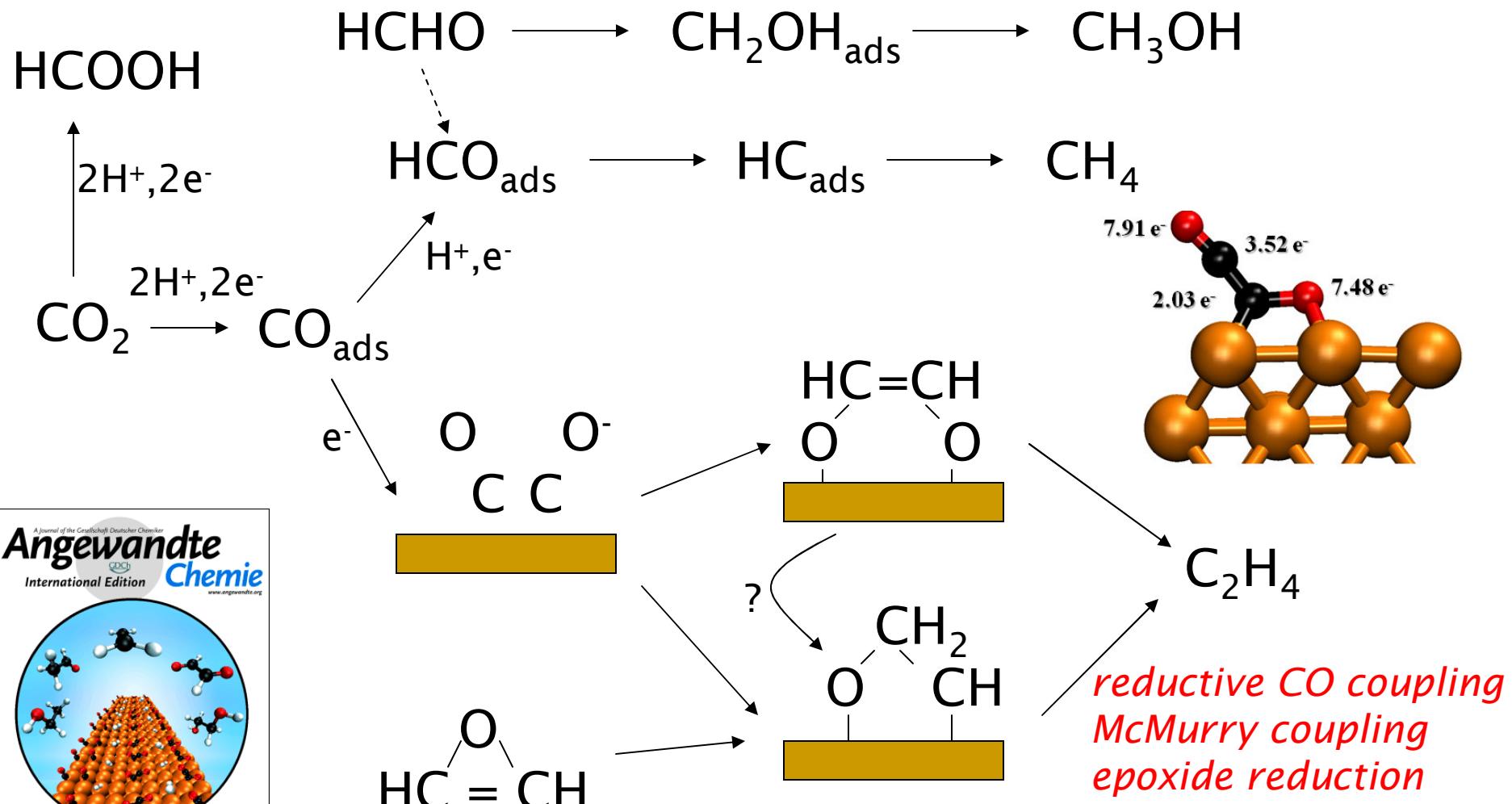
# CO reduction on Cu(111) and Cu(100)



# (100) terraces - not (100) steps

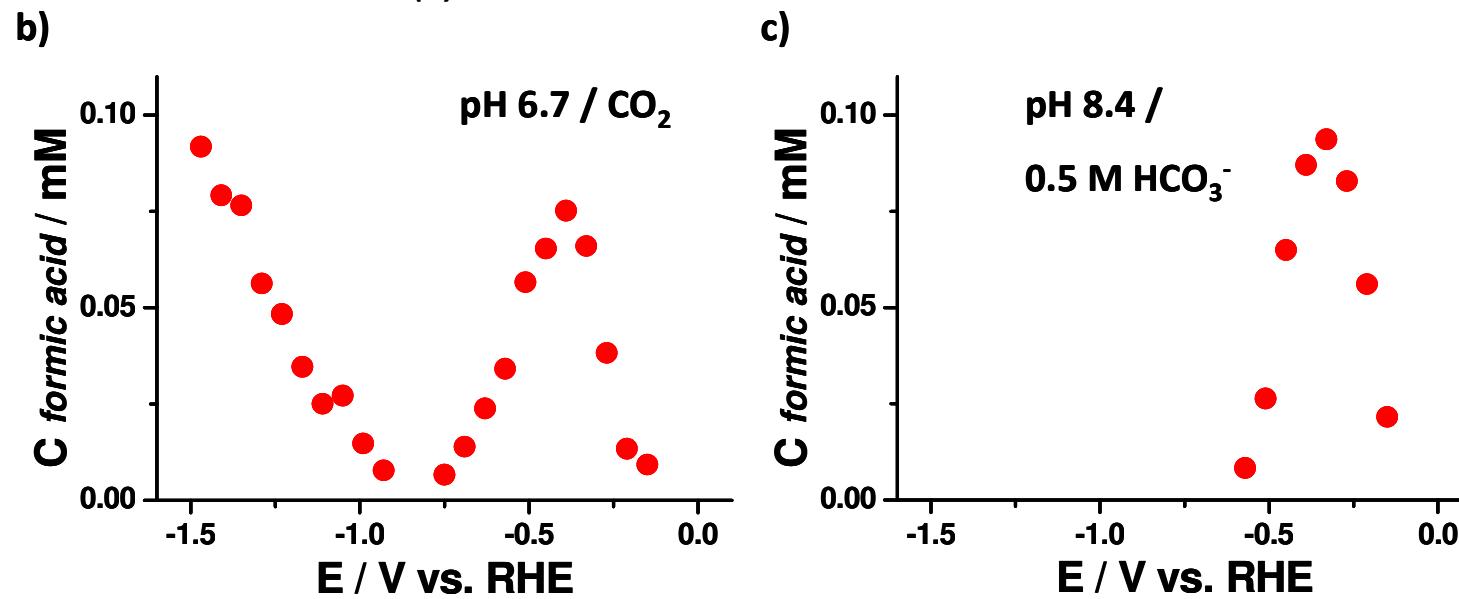
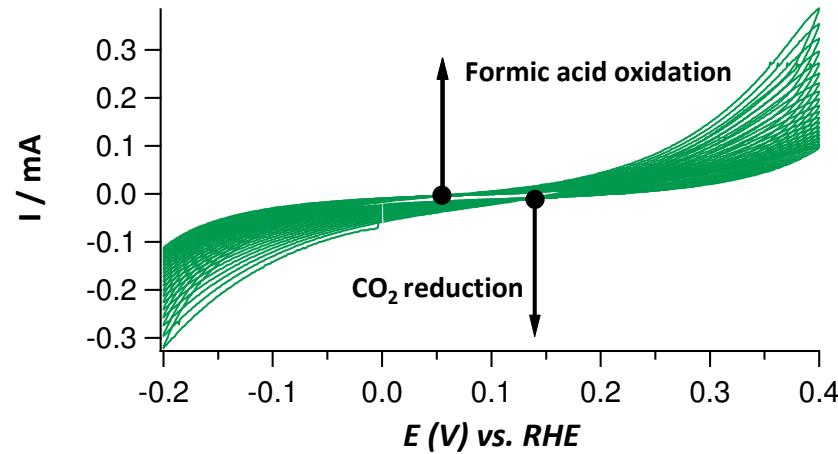


# A consistent mechanism



K.J.P.Schouten, Y.Kwon, C.J.M.van der Ham, Z.Qin, M.T.M.Koper, *Chem.Sci.* 2 (2011) 1902  
F.Calle Vallejo, M.T.M.Koper, *Angew.Chem.Int.Ed* 52 (2013) 7282

# $\text{CO}_2$ reduction to formic acid



# Conclusions

- Try to transfer 2 electrons at a time
- If you insist on transferring more than 2 electrons with 1 catalyst, be prepared to deal with scaling relationships...
- Unfavorable scaling between OOH and OH leads to irreversible kinetics of the oxygen electrode
- Proton-decoupled electron transfer leads to strong pH dependence of catalysis
- Each PCET reaction has an optimal pH, and an optimal catalyst at the optimal pH
- CO<sub>2</sub>/CO electro-reduction is pH dependent