

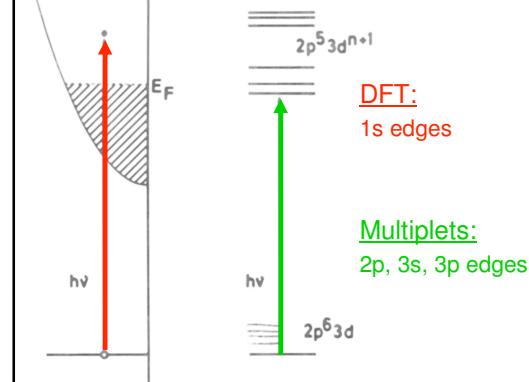
## X-ray absorption

Excitation of core electrons to empty states.

Spectrum given by the **Fermi Golden Rule**

$$I_{XAS} \sim \sum_f \left| \langle \Phi_f | \hat{e} \cdot r | \Phi_i \rangle \right|^2 \delta_{E_f - E_i - \hbar\omega}$$

## X-ray Absorption Spectroscopy



## Charge Transfer Multiplet program

Used for the analysis of XAS, EELS,

Photoemission, Auger, XES,

ATOMIC PHYSICS



GROUP THEORY



MODEL HAMILTONIANS

## Core Level Spectroscopy of Solids

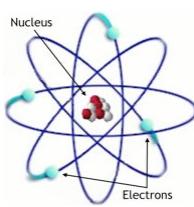
Frank de Groot  
Akio Kotani

CRC Press  
Taylor & Francis Group

## Atomic Multiplet Theory

$$H\Psi = E\Psi$$

$$H = \sum_N \frac{p_i^2}{2m} + \sum_N \frac{-Ze^2}{r_i} + \sum_{pairs} \frac{e^2}{r_{ij}} + \sum_N \zeta(r_i) l_i \cdot s_i$$

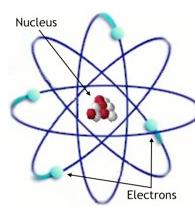


- Kinetic Energy
- Nuclear Energy
- Electron-electron interaction
- Spin-orbit coupling

## Atomic Multiplet Theory

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~~$$H = \sum_N \frac{p_i^2}{2m} + \sum_N \frac{-Ze^2}{r_i} + \sum_{pairs} \frac{e^2}{r_{ij}} + \sum_N \zeta(r_i) l_i \cdot s_i$$~~



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### Atomic Multiplet Theory

$$H = \sum_N \cancel{\frac{p_i^2}{2m}} + \sum_N \cancel{\frac{-ze^2}{r_i}} + \sum_{pairs} \frac{e^2}{r_{ij}} + \sum_N \zeta(r_i) l_i \cdot s_i$$

$$H'_{ee} = H_{ee} - \langle H_{ee} \rangle = \sum_{pairs} \frac{e^2}{r_{ij}} - \left\langle \sum_{pairs} \frac{e^2}{r_{ij}} \right\rangle$$

### Atomic Multiplet Theory

$$\left\langle {}^{2S+1}L_J \mid \frac{e^2}{r_{12}} \mid {}^{2S+1}L_J \right\rangle = \sum_k f_k F^k$$

Electron Correlation of Valence States

$$\frac{e^2}{r_{12}} \equiv \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} = 4\pi e^2 \sum_{k=0}^{\infty} \frac{1}{2k+1} \frac{r_e^{-k}}{r_{>}^{k+1}} \mathbf{Y}_1^{(k)} \cdot \mathbf{Y}_2^{(k)}$$

$$\begin{aligned} E_C &= \left\langle l_1 l_2 LS \left| \frac{e^2}{r_{12}} \right| l_1 l_2 LS \right\rangle \\ &= \sum_k (f_k F^k \pm g_k G^k) \end{aligned}$$

Weissbluth, Atoms & Molecules, chapter 21

### Atomic Multiplet Theory

$$\left\langle {}^{2S+1}L_J \mid \frac{e^2}{r_{12}} \mid {}^{2S+1}L_J \right\rangle = \sum_k f_k F^k$$

Electron Correlation of Valence States

$$H_{ATOM} = \sum_{pairs} \frac{e^2}{r_{ij}} + \sum_N \zeta(r_i) l_i \cdot s_i$$

Valence Spin-orbit coupling

### Atomic Multiplet Theory

$$\left\langle {}^{2S+1}L_J \mid \frac{e^2}{r_{12}} \mid {}^{2S+1}L_J \right\rangle = \sum_k f_k F^k + \sum_k g_k G^k$$

Core Valence Overlap

$$H_{ATOM} = \sum_{pairs} \frac{e^2}{r_{ij}} + \sum_N \zeta(r_i) l_i \cdot s_i$$

Core Spin-orbit coupling

### Multiplet Effects

1s	2s	2p	3s	3p
0.07	5	8	13	17
0	0	17	0	2

Core Valence Overlap

Core Spin-orbit coupling

### Term Symbols (LS)

$${}^{2S+1}L$$

#### L Azimuthal quantum number

$$L = |l_1 - l_2|, |l_1 - l_2 + 1|, \dots, |l_1 + l_2| \quad 3d: L=0,1,2,3,4$$

#### S Spin quantum number

$$S = |s_1 - s_2|, |s_1 - s_2 + 1|, \dots, |s_1 + s_2| \quad 3d: S=1/2 \quad 3d^2: S=0,1$$

#### m<sub>l</sub> magnetic quantum number

$$m_l = -L, -L+1, \dots, L \quad 3d: m_l=2,1,0,-1,-2$$

#### m<sub>s</sub> spin magnetic quantum number

$$m_s = -S, S+1, \dots, S \quad 3d: m_s=1/2, -1/2 (\uparrow, \downarrow)$$

## Term Symbols (LSJ)

$$2S+1L_J$$

### J Spin quantum number

$J = |L-S|, |L-S+1|, \dots, L+S$        $3d: j=3/2, 5/2 \quad 3d^2: j=0, 1, 2, 3, 4$

### $m_J$ total magnetic quantum number

$m_J = -J, -J+1, \dots, J$

$3d_{5/2}: m_j = 5/2, 3/2, 1/2, -1/2, -3/2, -5/2$

## Term Symbols

- Term symbols of a  $1s^1 2s^1$  configuration

•  $1s^1 \rightarrow {}^2S_{1/2}$  ( $S=1/2, L=0, J=1/2$ )

•  $2s^1 \rightarrow {}^2S_{1/2}$  ( $S=1/2, L=0, J=1/2$ )

•  $1s^1 2s^1 \rightarrow S_{TOT} = 0$  or 1

→  $L_{TOT} = 0$

→  ${}^1S_0 + {}^3S_1$

$[\Sigma(2J+1)=1+3=4]$

## Term Symbols

- Term symbols of a  $1s^1 2p^1$  configuration

•  $1s^1 \rightarrow {}^2S_{1/2}$  ( $S=1/2, L=0, J=1/2$ )

•  $2p^1 \rightarrow {}^2P_{1/2}, {}^2P_{3/2}$  ( $S=1/2, L=1, J=1/2, 3/2$ )

•  $1s^1 2p^1 \rightarrow S_{TOT} = 0$  or 1

→  $L_{TOT} = 1$

→  ${}^1P_1 + {}^3P_0, {}^3P_1, {}^3P_2$

$[\Sigma(2J+1)=3+1+3+5=12]$

## Term Symbols

- Term symbols of a  $2p^1 3d^1$  configuration

•  $2p^1 \rightarrow {}^2P_{1/2}, {}^2P_{3/2}$  ( $S=1/2, L=1, J=1/2, 3/2$ )

•  $3d^1 \rightarrow {}^2D_{3/2}, {}^2D_{5/2}$  ( $S=1/2, L=2, J=3/2, 5/2$ )

•  $2p^1 3d^1 \rightarrow S_{TOT} = 0$  or 1

→  $L_{TOT} = 1$  or 2 or 3

→  ${}^1P_1 + {}^3P_0, {}^3P_1, {}^3P_2$

→  ${}^1D_2 + {}^3D_1, {}^3D_2, {}^3D_3$

→  ${}^1F_3 + {}^3F_2, {}^3F_3, {}^3F_4$

$[\Sigma(2J+1)=3+1+3+5+5+3+5+7+7+5+7+9=60]$

## Term Symbols

- Term symbols of a  $2p^2$  configuration

## Configurations of $2p^2$

1 ↑	0 ↑	-1 ↑
1 ↓	0 ↓	-1
1 ↑	0 ↑	-1 ↑
1 ↓	0 ↓	-1 ↓
1 ↑	0 ↑	-1 ↑
1 ↓	0 ↓	-1 ↓
1 ↑	0 ↑	-1 ↑
1 ↓	0 ↓	-1 ↓

### Term Symbols of $2p^2$

	$M_S=1$	$M_S=0$	$M_S=-1$
$M_L=2$	0	1	0
$M_L=1$	1	2	1
$M_L=0$	1	3	1
$M_L=-1$	1	2	1
$M_L=-2$	0	1	0

LS term symbols:  ${}^1S$ ,  ${}^1D$ ,  ${}^3P$

LSJ term symbols:

${}^1S_0 \ {}^1D_2 \ {}^3P_0 \ {}^3P_1 \ {}^3P_2$

### Term Symbols

2↑	1↑	0↑	-1↑	-2↑
2↓	1↓	0↓	-1↓	-2↓

$M_L=4$   
 $M_S=0$   
 $M_J=4$

2↑	1↑	0↑	-1↑	-2↑
2↓	1↓	0↓	-1↓	-2↓

$M_L=3$   
 $M_S=1$   
 $M_J=4$

### Term Symbols

2p<sup>2</sup>-configuration:  ${}^1S_0, {}^1D_2, {}^3P_0, {}^3P_1, {}^3P_2$ ,

3d<sup>2</sup>-configuration:  ${}^1S_0, {}^1D_2, {}^3P_0, {}^3P_1, {}^3P_2,$   
 ${}^1G_4, {}^3F_2, {}^3F_3, {}^3F_4$

### Matrix Elements

$$\left\langle {}^{2S+1}L_J \mid \frac{e^2}{r_{12}} \mid {}^{2S+1}L_J \right\rangle = \sum_k f_k F^k + \sum_k g_k G^k$$

**$1s^1 2s^1$**

$$\langle {}^1S \mid \frac{e^2}{r_{12}} \mid {}^1S \rangle = F^0(1s2s) + G^0(1s2s),$$

$$\langle {}^3S \mid \frac{e^2}{r_{12}} \mid {}^3S \rangle = F^0(1s2s) - G^0(1s2s).$$

### Matrix Elements

$$\left\langle {}^{2S+1}L_J \mid \frac{e^2}{r_{12}} \mid {}^{2S+1}L_J \right\rangle = \sum_k f_k F^k + \sum_k g_k G^k$$

**general**

$$f_k = (2l_1 + 1)(2l_2 + 1)(-1)^L \begin{pmatrix} l_1 & k & l_1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_2 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_2 & l_1 & k \end{Bmatrix},$$

$$g_k = (2l_1 + 1)(2l_2 + 1)(-1)^S \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_1 & l_2 & k \end{Bmatrix}.$$

### Matrix Elements

$$f_k = (2l_1 + 1)(2l_2 + 1)(-1)^L \begin{pmatrix} l_1 & k & l_1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_2 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_2 & l_1 & k \end{Bmatrix},$$

$$g_k = (2l_1 + 1)(2l_2 + 1)(-1)^S \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_1 & l_2 & k \end{Bmatrix}.$$

**$1S$  of  $2p^2$   $l_1=1$   $l_2=1$   $k=2$   $L=0$**

$$f_2({}^1S) = (3)(3) \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} 1 & 1 & 0 \\ 1 & 1 & 2 \end{Bmatrix} = 9 \cdot \frac{2}{15} \cdot \frac{1}{3} = \frac{2}{5}.$$

### Matrix Elements

$$f_k = (2l_1 + 1)(2l_2 + 1)(-1)^L \begin{pmatrix} l_1 & k & l_1 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_2 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_2 & l_1 & k \end{Bmatrix},$$

$$g_k = (2l_1 + 1)(2l_2 + 1)(-1)^S \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_1 & k & l_2 \\ 0 & 0 & 0 \end{pmatrix} \begin{Bmatrix} l_1 & l_2 & L \\ l_1 & l_2 & k \end{Bmatrix}.$$

**3d<sup>2</sup> l<sub>1</sub>=2 l<sub>2</sub>=2 k={2,4} L={0,1,2,3,4}**

$$f_k = \frac{10}{7} - 1^L \begin{Bmatrix} 2 & 2 & L \\ 2 & 2 & k \end{Bmatrix}.$$

### Matrix Elements

	$f_2$	$f_4$	Energy
<sup>1</sup> S	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 0 \\ 2 & 2 & 2 \end{Bmatrix}$	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 0 \\ 2 & 2 & 4 \end{Bmatrix}$	$F^4 = 0.62F^2$
<sup>3</sup> P	$-\frac{10}{7} \begin{Bmatrix} 2 & 2 & 1 \\ 2 & 2 & 2 \end{Bmatrix}$	$-\frac{10}{7} \begin{Bmatrix} 2 & 2 & 1 \\ 2 & 2 & 4 \end{Bmatrix}$	$-4/21 \quad 0.02F^2$
<sup>1</sup> D	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 2 \\ 2 & 2 & 2 \end{Bmatrix}$	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 2 \\ 2 & 2 & 4 \end{Bmatrix}$	$4/49 \quad -0.01F^2$
<sup>3</sup> F	$-\frac{10}{7} \begin{Bmatrix} 2 & 2 & 3 \\ 2 & 2 & 2 \end{Bmatrix}$	$-\frac{10}{7} \begin{Bmatrix} 2 & 2 & 3 \\ 2 & 2 & 4 \end{Bmatrix}$	$-1/49 \quad -0.18F^2$
<sup>1</sup> G	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 4 \\ 2 & 2 & 2 \end{Bmatrix}$	$\frac{10}{7} \begin{Bmatrix} 2 & 2 & 4 \\ 2 & 2 & 4 \end{Bmatrix}$	$1/441 \quad 0.08F^2$

### Matrix Elements

$$\langle {}^{2S+1}L_J | \frac{e^2}{r_{12}} | {}^{2S+1}L_J \rangle = \sum_k f_k F^k + \sum_k g_k G^k$$

Calculate energy levels due to e/r integrals  
(45x45 matrix blocked into irred. Representations)

		Relative Energy	Relative Energy
<sup>1</sup> S	$F^0 + 2/7 F^2 + 2/7 F^4$	$0.46F^2$	4.6 eV
<sup>3</sup> P	$F^0 + 3/21 F^2 - 4/21 F^4$	$0.02F^2$	0.2 eV
<sup>1</sup> D	$F^0 - 3/49 F^2 + 4/49 F^4$	$-0.01F^2$	-0.1 eV
<sup>3</sup> F	$F^0 - 8/49 F^2 - 1/49 F^4$	$-0.18F^2$	-1.8 eV
<sup>1</sup> G	$F^0 + 4/49 F^2 + 1/441 F^4$	$0.08F^2$	0.8 eV

### Matrix Elements of 3 electron states

$$| d^n[LS] \rangle = \sum_{L_1 S_1} C_{L_1 S_1}^{LS} | d^{n-1}[L_1 S_1] d' \rangle.$$

$$| d^3[{}^4P] \rangle = -\sqrt{\frac{8}{15}} | d^2[{}^3P] d' \rangle - \sqrt{\frac{7}{15}} | d^2[{}^3F] d' \rangle,$$

### Term Symbols and XAS

-Ti<sup>IV</sup> ion in TiO<sub>2</sub>:

-Ground state:

$3d^0$

-Final state:

$2p^5 3d^1$

-Dipole transition:

p-symmetry

- $3d^0$ -configuration:  ${}^1S$

j=0

- $2p^1 3d^0$ -configuration:  ${}^2P \otimes {}^2D = {}^{1,3}PDF$

j=0,1,2,3,4

-p-transition:

${}^1P$

$\Delta j = +1, 0, -1$

-ground state symmetry:  ${}^1S$

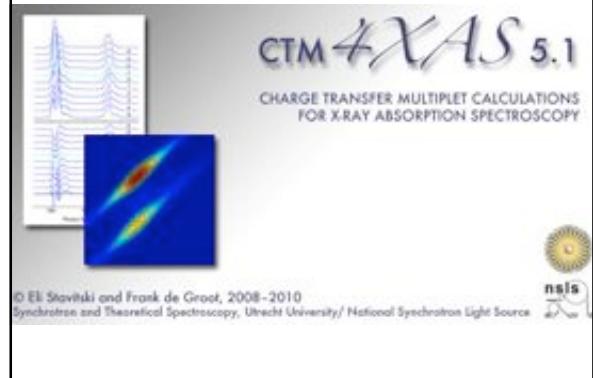
${}^1S_0$

-transition:  ${}^1S \otimes {}^1P = {}^1P$

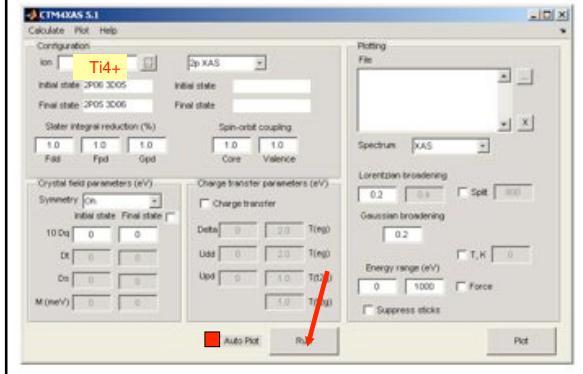
-possible final states:  ${}^1P$

${}^1P_1, {}^3P_1, {}^3D_1,$

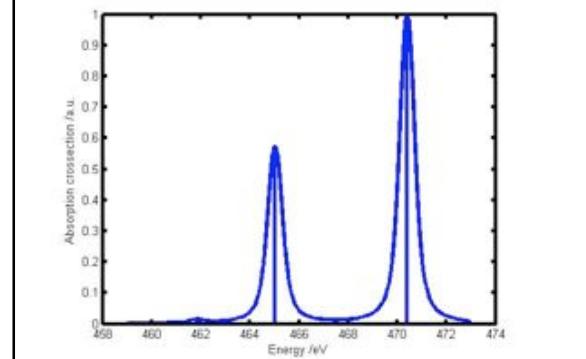
### The CTM4XAS program



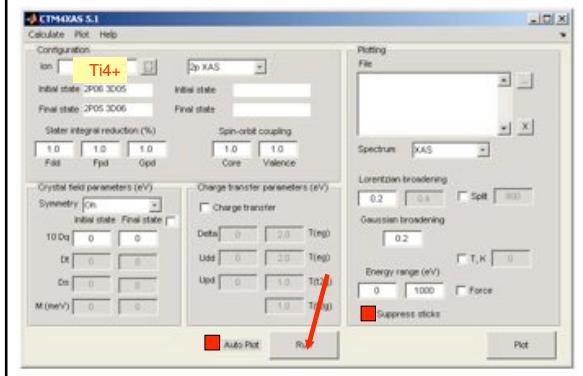
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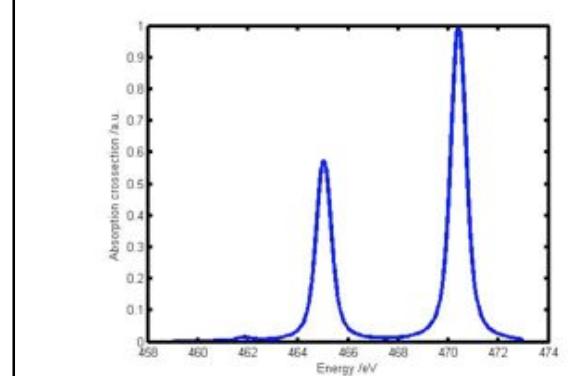
## 2p XAS of $\text{TiO}_2$



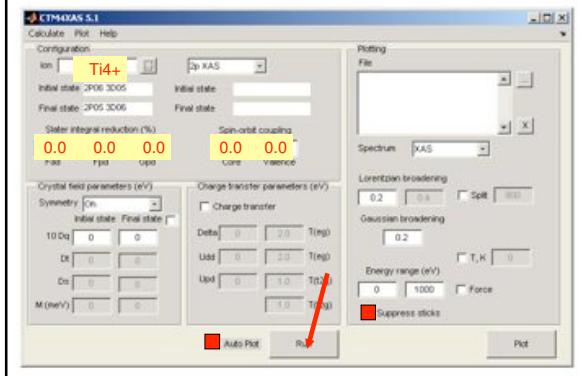
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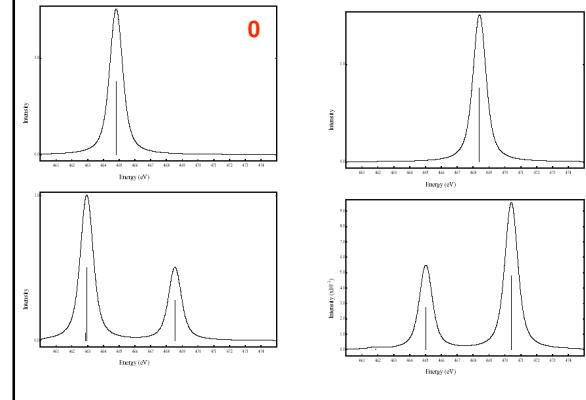
## 2p XAS of $\text{TiO}_2$

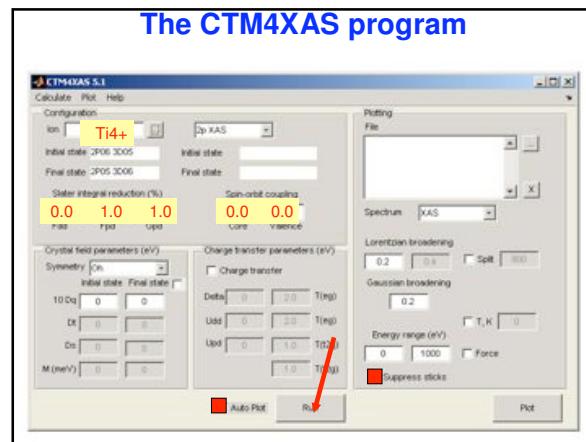
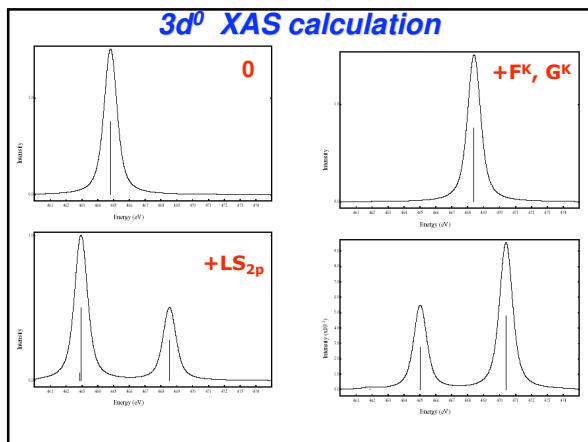
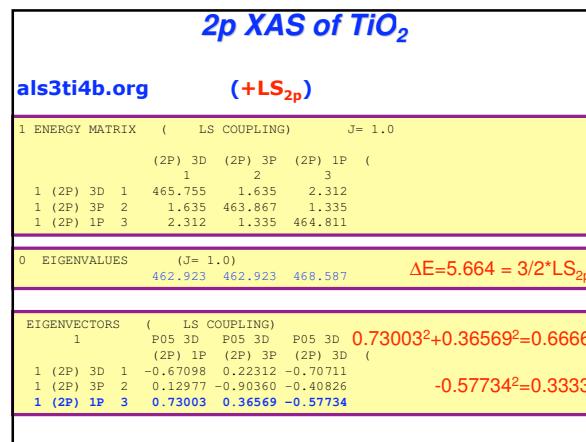
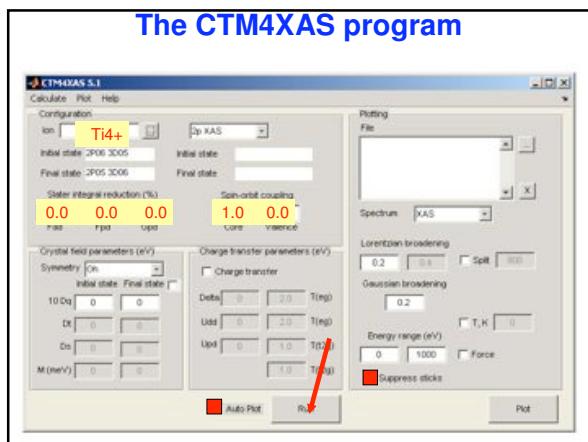
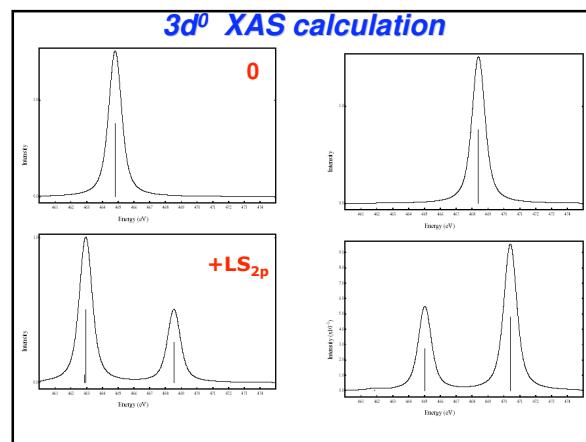
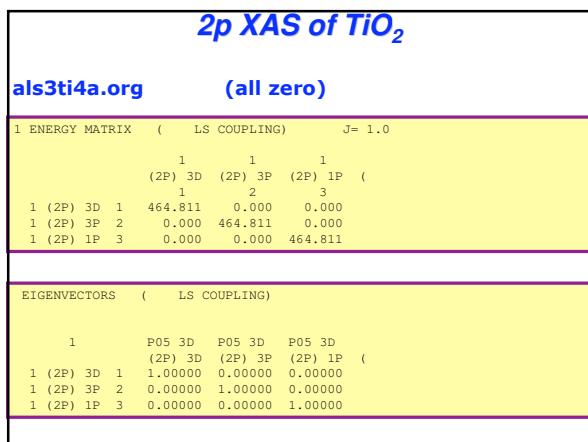


## The CTM4XAS program

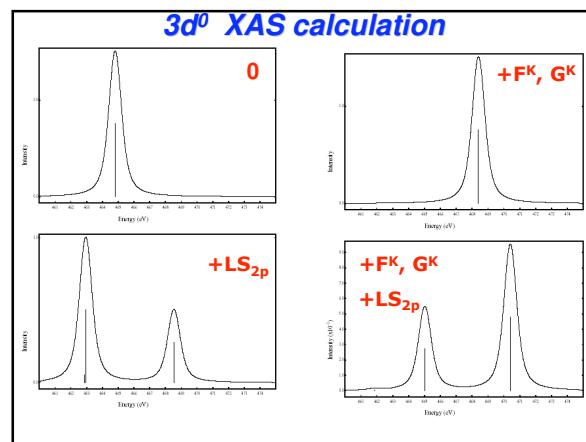


## 3d<sup>0</sup> XAS calculation





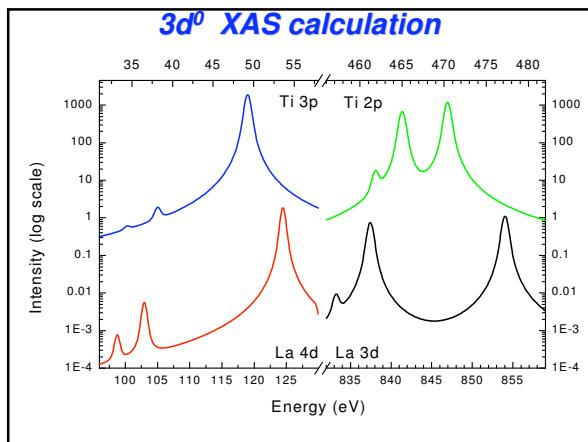
2p XAS of $TiO_2$								
<a href="http://als3ti4c.org">als3ti4c.org</a> (+FK, GK)								
1 ENERGY MATRIX ( LS COUPLING) $J= 1.0$								
(2P) 3D (2P) 3P (2P) 1P (								
1 (2P) 3D 1 465.482 0.000 0.000								
1 (2P) 3P 2 0.000 463.466 0.000								
1 (2P) 1P 3 0.000 0.000 468.402								
0 EIGENVALUES ( $J= 1.0$ )								
463.466 465.482 468.402								
EIGENVECTORS ( LS COUPLING)								
1 P05 3D P05 3D P05 3D								
(2P) 3P (2P) 3D (2P) 1P (								
1 (2P) 3D 1 0.00000 1.00000 0.00000								
1 (2P) 3P 2 1.00000 0.00000 0.00000								
1 (2P) 1P 3 0.00000 0.00000 1.00000								



2p XAS of $TiO_2$								
<a href="http://als3ti4d.org">als3ti4d.org</a> (+LS <sub>2p</sub> +FK, GK)								
1 ENERGY MATRIX ( LS COUPLING) $J= 1.0$								
(2P) 3D (2P) 3P (2P) 1P (								
1 (2P) 3D 1 466.426 1.635 2.312								
1 (2P) 3P 2 1.635 462.522 1.335								
1 (2P) 1P 3 2.312 1.335 468.402								
0 EIGENVALUES ( $J= 1.0$ )								
461.886 465.019 470.446								
EIGENVECTORS ( LS COUPLING)								
1 P05 3D P05 3D P05 3D								
(2P) 3P (2P) 3D (2P) 1P (								
1 (2P) 3D 1 0.29681 -0.77568 0.55698								
1 (2P) 3P 2 -0.95074 -0.18539 0.24845								
1 (2P) 1P 3 0.08946 0.60328 0.79250								

### 3d<sup>0</sup> XAS calculation

Edge	Ti 2p	Ti 3p	La 3d	La 4d
Average Energy (eV)	464.00	37.00	841.00	103.00
Core spin-orbit (eV)	3.78	0.43	6.80	1.12
F <sup>2</sup> Slater-Condon (eV)	5.04	8.91	5.65	10.45
Intensities:				
Pre-peak	0.01	$10^{-4}$	0.01	$10^{-3}$
p <sub>3/2</sub> or d <sub>5/2</sub>	0.72	$10^{-3}$	0.80	0.01
p <sub>1/2</sub> or d <sub>3/2</sub>	1.26	1.99	1.19	1.99



### Term Symbols and XAS

Ti<sup>IV</sup> ion in  $TiO_2$ :

Ground state:	3d <sup>0</sup>
Final state:	2p <sup>5</sup> 3d <sup>1</sup>
Dipole transition:	p-symmetry

3d<sup>0</sup>-configuration:  $^1S$   $j=0$

2p<sup>1</sup>3d<sup>0</sup>-configuration:  $^2P \otimes ^2D = ^1, ^3P_D$   $j=0, 1, 2, 3, 4$

p-transition:  $^1P$   $\Delta j=+1, 0, -1$

ground state symmetry:  $^1S$   $^1S_0$

transition:  $^1S \otimes ^1P = ^1P$

possible final states:  $^1P$   $^1P_1, ^3P_1, ^3D_1,$

3d <sup>N</sup> XAS calculation				
Transition	Ground	Transitions	Term Symbols	
3d <sup>0</sup> →2p <sup>5</sup> 3d <sup>1</sup>	<sup>1</sup> S <sub>0</sub>	3		12
3d <sup>1</sup> →2p <sup>5</sup> 3d <sup>2</sup>	<sup>2</sup> D <sub>3/2</sub>	29		45
3d <sup>2</sup> →2p <sup>5</sup> 3d <sup>3</sup>	<sup>3</sup> F <sub>2</sub>	68		110
3d <sup>3</sup> →2p <sup>5</sup> 3d <sup>4</sup>	<sup>4</sup> F <sub>3/2</sub>	95		180
3d <sup>4</sup> →2p <sup>5</sup> 3d <sup>5</sup>	<sup>5</sup> D <sub>0</sub>	32		205
3d <sup>5</sup> →2p <sup>5</sup> 3d <sup>6</sup>	<sup>6</sup> S <sub>5/2</sub>	110		180
3d <sup>6</sup> →2p <sup>5</sup> 3d <sup>7</sup>	<sup>5</sup> D <sub>4</sub>	68		110
3d <sup>7</sup> →2p <sup>5</sup> 3d <sup>8</sup>	<sup>4</sup> F <sub>9/2</sub>	16		45
3d <sup>8</sup> →2p <sup>5</sup> 3d <sup>9</sup>	<sup>3</sup> F <sub>4</sub>	4		12
3d <sup>9</sup> →2p <sup>5</sup> 3d <sup>10</sup>	<sup>2</sup> D <sub>5/2</sub>	1		2

### Hunds rules

- Term symbols with **maximum spin S** are lowest in energy,
- Among these terms:
  - Term symbols with **maximum L** are lowest in energy
  - In the presence of spin-orbit coupling, the lowest term has  $J = |L-S|$  if the shell is less than half full
  - $J = L+S$  if the shell is more than half full

3d<sup>1</sup> has <sup>2</sup>D<sub>3/2</sub> ground state      3d<sup>2</sup> has <sup>3</sup>F<sub>2</sub> ground state  
 3d<sup>9</sup> has <sup>2</sup>D<sub>5/2</sub> ground state      3d<sup>8</sup> has <sup>3</sup>F<sub>4</sub> ground state

Give the Hund's rule ground states for 3d<sup>1</sup> to 3d<sup>9</sup>

### Term Symbols and XAS

Ti<sup>IV</sup> ion in TiO<sub>2</sub>:  
 Ground state: 3d<sup>0</sup>  
 Final state: 2p<sup>5</sup>3d<sup>1</sup>  
 Dipole transition: p-symmetry

3d<sup>0</sup>-configuration: <sup>1</sup>S<sub>0</sub>      j=0  
 2p<sup>1</sup>3d<sup>9</sup>-configuration: <sup>2</sup>P<sub>1</sub> ⊗ <sup>2</sup>D = <sup>1,3</sup>PDF      j'=0,1,2,3,4  
 p-transition: <sup>1</sup>P      Δj=+1,0,-1

ground state symmetry: <sup>1</sup>S<sub>0</sub>  
 transition: <sup>1</sup>S<sub>0</sub> ⊗ <sup>1</sup>P = <sup>1</sup>P  
 two possible final states: <sup>1</sup>P<sub>1</sub>, <sup>3</sup>P<sub>1</sub>, <sup>3</sup>D<sub>1</sub>

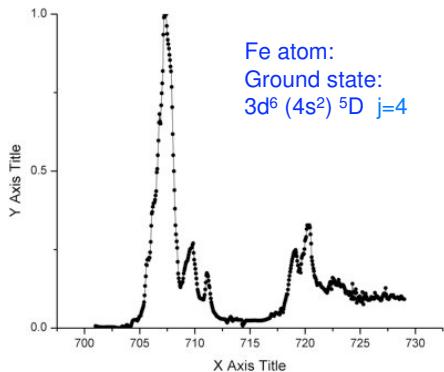
### Term Symbols and XAS

Fe atom:  
 Ground state: 3d<sup>6</sup> (4s<sup>2</sup>)  
 Final state: 2p<sup>5</sup>3d<sup>7</sup>  
 Dipole transition: p-symmetry

3d<sup>6</sup>-configuration: <sup>5</sup>D, etc.      j=4  
 2p<sup>5</sup>3d<sup>7</sup>-configuration: 110 states      j= 3,4, 5  
 p-transition: <sup>1</sup>P      Δj=+1,0,-1

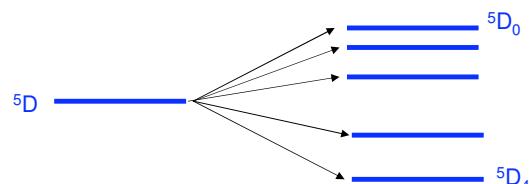
ground state symmetry: <sup>5</sup>D<sub>4</sub>  
 transition: <sup>5</sup>D<sub>4</sub> ⊗ <sup>1</sup>P = <sup>5</sup>PDF  
 possible final states: 68 states

### Term Symbols and XAS



### Term Symbols and XAS

Fe atom:  
 Ground state: 3d<sup>6</sup> (4s<sup>2</sup>) <sup>5</sup>D j=4



## Term Symbols and XAS

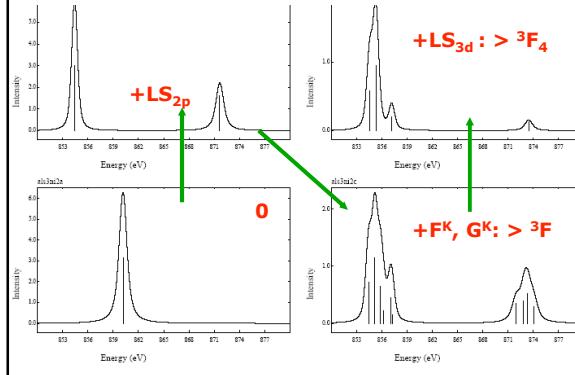
Ni<sup>II</sup> ion in NiO:

Ground state:  $3d^8$   
 Final state:  $2p^53d^9$   
 Dipole transition: p-symmetry

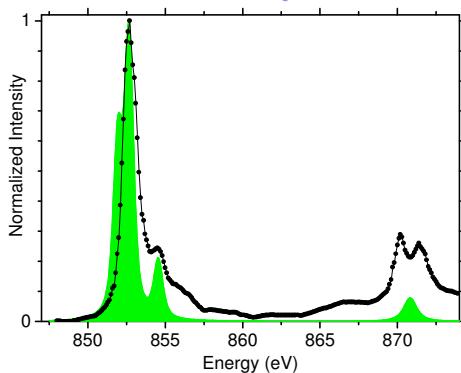
$3d^8$ -configuration:  $^1S, ^1D, ^3P, ^1G, ^3F$        $j=4$   
 $2p^53d^9$ -configuration:  $^2P \otimes ^2D = ^1, ^3PDF$        $j=0, 1, 2, 3, 4$   
 p-transition:  $^1P$        $\Delta j=+1, 0, -1$

ground state symmetry:  $^3F$        $^3F_4$   
 transition:  $^3F \otimes ^1P = ^3DFG$   
 two possible final states:  $^3D, ^3F$        $^3D_3, ^3F_3, ^3F_4, ^1F_3$

## $3d^8$ XAS calculation



## Atomic multiplets

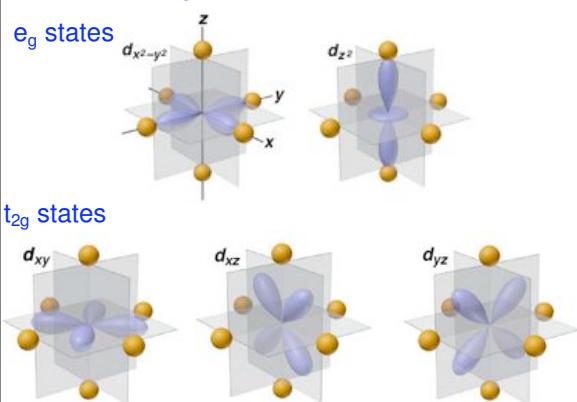


## Charge Transfer Multiplet program

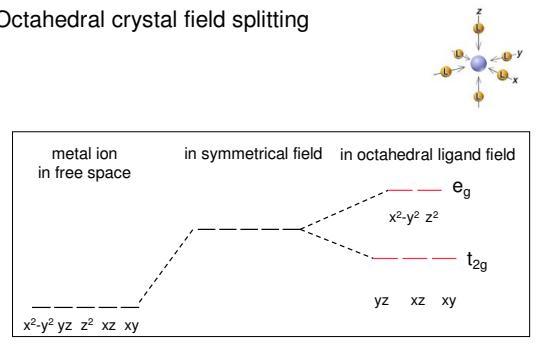
Used for the analysis of XAS, EELS,  
 Photoemission, Auger, XES,

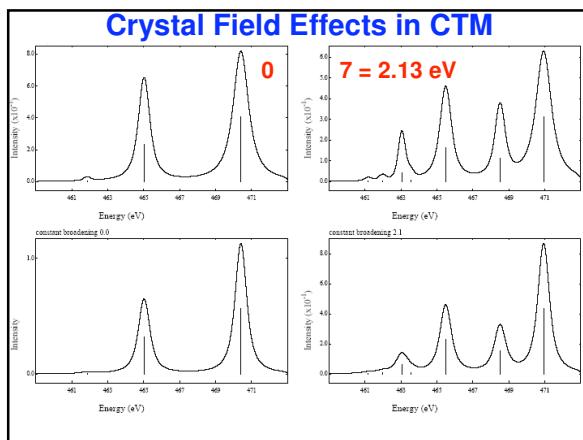
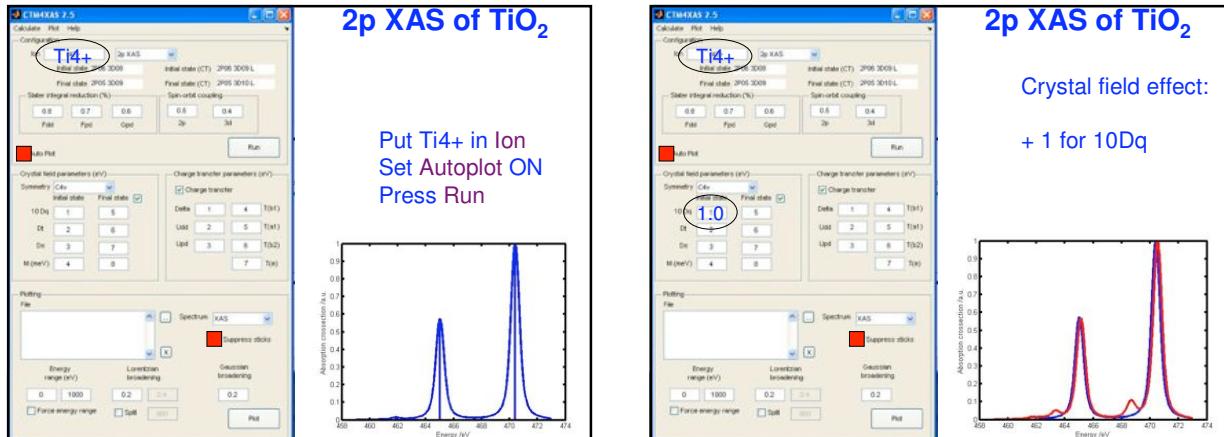
ATOMIC PHYSICS  
 ↓  
 GROUP THEORY  
 ↓  
 MODEL HAMILTONIANS

## Crystal Field Effects



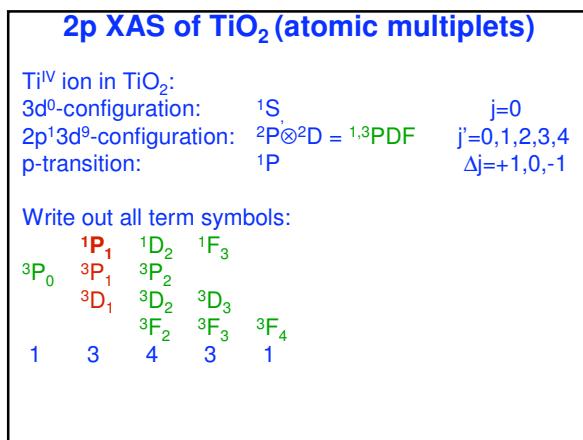
## Octahedral crystal field splitting





**Crystal Field Effects**

$\text{SO}_3$		$\text{O}_h$ (Mulliken)
S	0	$A_1$
P	1	$T_1$
D	2	$E + T_2$
F	3	$A_2 + T_1 + T_2$
G	4	$A_1 + E + T_1 + T_2$



**Crystal Field Effect on XAS**

J in $\text{SO}_3$	Deg.			
0	1			
1	3			
2	4			
3	3			
4	1			
$\Sigma$	12			

$\langle ^1\text{S}_0 | \text{dipole} | ^1\text{P}_1 \rangle$  goes to  $\langle A_1 | T_1 | T_1 \rangle$

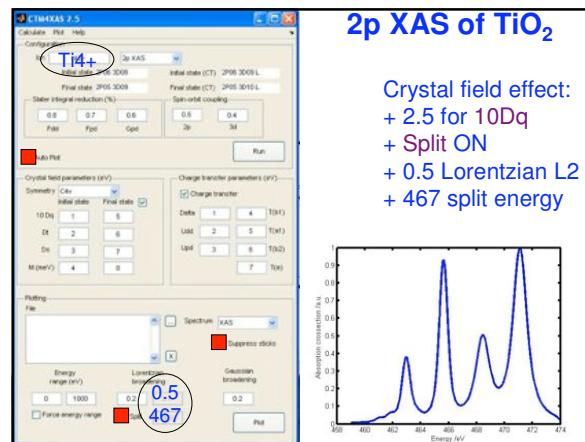
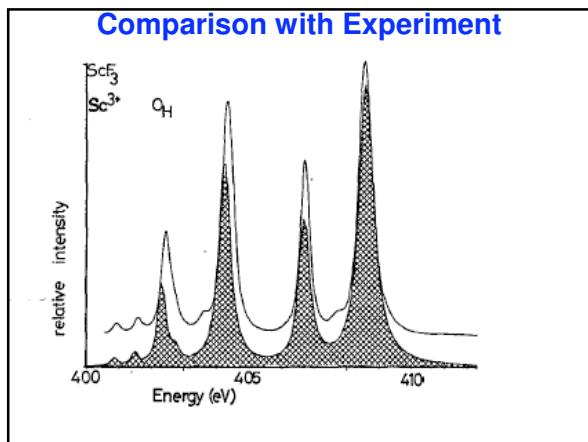
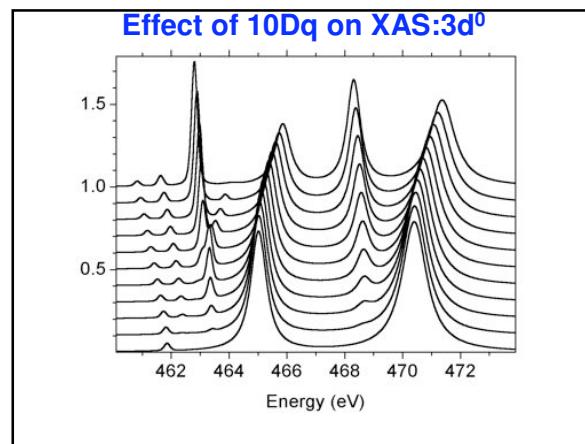
Crystal Field Effects		
SO <sub>3</sub>		O <sub>h</sub> (Mulliken)
S	0	A <sub>1</sub>
P	1	T <sub>1</sub>
D	2	E+T <sub>2</sub>
F	3	A <sub>2</sub> +T <sub>1</sub> +T <sub>2</sub>
G	4	A <sub>1</sub> +E+T <sub>1</sub> +T <sub>2</sub>

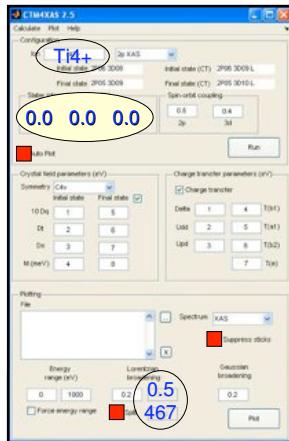
Crystal Field Effect on XAS				
J in SO <sub>3</sub>	Deg.	Branchings		
0	1	A <sub>1</sub>		
1	3	3×T <sub>1</sub>		
2	4	4xE, 4xT <sub>2</sub>		
3	3	3xA <sub>2</sub> , 3xT <sub>1</sub> , 3xT <sub>2</sub>		
4	1	A <sub>1</sub> , E, T <sub>1</sub> , T <sub>2</sub>		
$\Sigma$	12			

$\langle ^1S_0 | \text{dipole} | ^1P_1 \rangle$  goes to  $\langle A_1 | T_1 | T_1 \rangle$

Crystal Field Effect on XAS				
J in SO <sub>3</sub>	Deg.	Branchings	$\Gamma$ in O <sub>h</sub>	Deg.
0	1	A <sub>1</sub>	A <sub>1</sub>	2
1	3	3×T <sub>1</sub>	A <sub>2</sub>	3
2	4	4xE, 4xT <sub>2</sub>	T <sub>1</sub>	7
3	3	3xA <sub>2</sub> , 3xT <sub>1</sub> , 3xT <sub>2</sub>	T <sub>2</sub>	8
4	1	A <sub>1</sub> , E, T <sub>1</sub> , T <sub>2</sub>	E	5
$\Sigma$	12			25

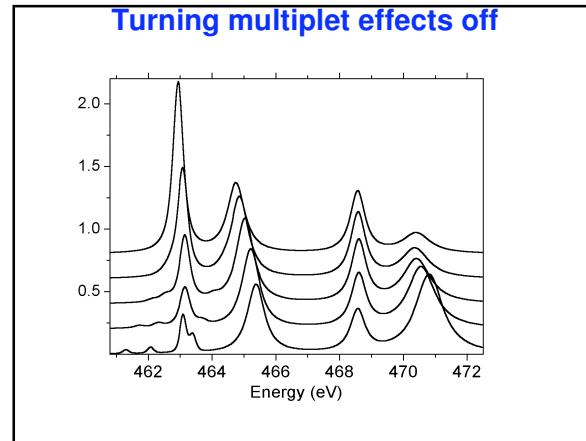
$\langle ^1S_0 | \text{dipole} | ^1P_1 \rangle$  goes to  $\langle A_1 | T_1 | T_1 \rangle$





## 2p XAS of $\text{TiO}_2$

+  
Set Expert options  
ON  
Add 1 1 1 for Fdd,  
etc.



## Partly filled 3d-shells

## Hunds rules

- Term symbols with **maximum spin S** are lowest in energy,
- Among these terms:  
Term symbols with **maximum L** are lowest in energy
- In the presence of spin-orbit coupling, the lowest term has
- $J = |L-S|$  if the shell is less than half full
- $J = L+S$  if the shell is more than half full

3d<sup>1</sup> has  $^2\text{D}_{3/2}$  ground state      3d<sup>2</sup> has  $^3\text{F}_2$  ground state  
3d<sup>9</sup> has  $^2\text{D}_{5/2}$  ground state      3d<sup>8</sup> has  $^3\text{F}_4$  ground state

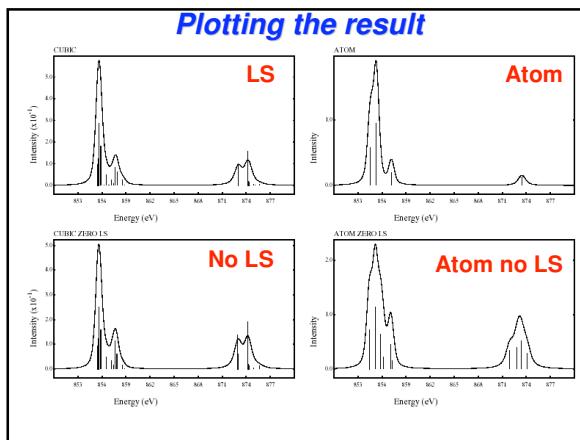
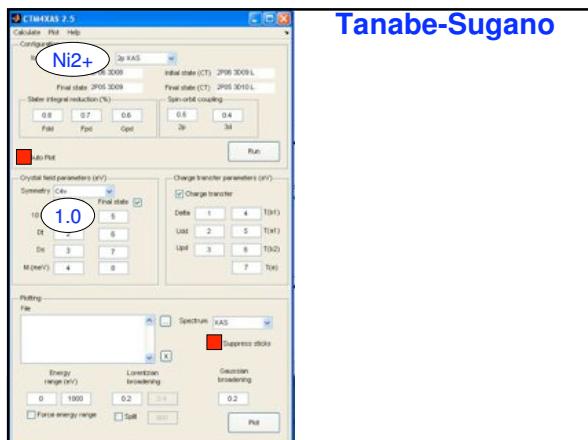
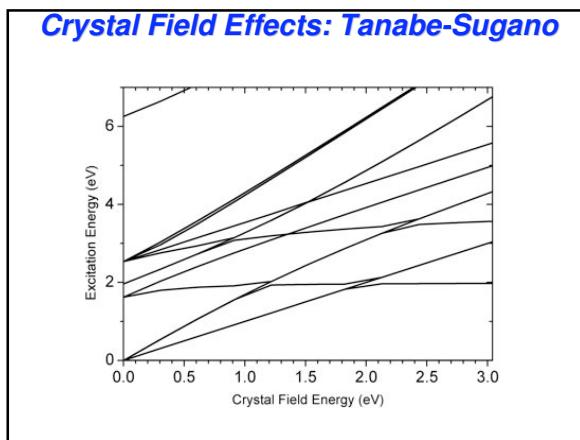
Crystal Field Effects on 3d <sup>8</sup> states			
	Energy	Symmetries O <sub>h</sub>	Total symmetry
1S	4.6 eV	$^1\text{A}_1$	
3P	0.2 eV	$^3\text{T}_1$	
1D	-0.1 eV	$^1\text{E} + ^1\text{T}_2$	
3F	-1.8 eV	$^3\text{A}_2 + ^3\text{T}_1 + ^3\text{T}_2$	
1G	0.8 eV	$^1\text{A}_1 + ^1\text{T}_1 + ^1\text{T}_2 + ^1\text{E}$	

SO <sub>3</sub>		O <sub>h</sub> (Butler)	O <sub>h</sub> (Mulliken)
S	0	0	A <sub>1</sub>
P	1	1	T <sub>1</sub>
D	2	2 + ^1	E+T <sub>2</sub>
F	3	^0+ 1 + ^1	A <sub>2</sub> +T <sub>1</sub> +T <sub>2</sub>
G	4	0 + 1 + 2 + ^1	A <sub>1</sub> +E+T <sub>1</sub> +T <sub>2</sub>

Crystal Field Effects on $3d^8$ states			
	Energy	Symmetries $O_h$	Total symmetry
1S	4.6 eV	$^1A_1$	$A_1 \otimes A_1 = A_1$
3P	0.2 eV	$^3T_1$	
1D	-0.1 eV	$^1E + ^1T_2$	
3F	-1.8 eV	$^3A_2 + ^3T_1 + ^3T_2$	$T_1 \otimes T_2 = T_1 + T_2 + E + A_2$
1G	0.8 eV	$^1A_1 + ^1T_1 + ^1T_2 + ^1E$	

The multiplication table of $O_h$ symmetry					
<b>O</b>	<b><math>A_1</math></b>	<b><math>A_2</math></b>	<b><math>T_1</math></b>	<b><math>T_2</math></b>	<b>E</b>
$A_1$	$A_1$	$A_2$	$T_1$	$T_2$	$E$
$A_2$	$A_2$	$A_1$	$T_2$	$T_1$	$E$
$T_1$	$T_1$	$T_2$	$T_1 + T_2 + E + A_1$	$T_1 + T_2 + E + A_2$	$T_1 + T_2$
$T_2$	$T_2$	$T_1$	$T_1 + T_2 + E + A_2$	$T_1 + T_2 + E + A_1$	$T_1 + T_2$
$E$	$E$	$E$	$T_1 + T_2$	$T_1 + T_2$	$A_1 + A_2 + E$

Crystal Field Effects on $3d^8$ states			
	Energy	Symmetries $O_h$	Total symmetry
1S	4.6 eV	$^1A_1$	$A_1 \otimes A_1 = A_1$
3P	0.2 eV	$^3T_1$	
1D	-0.1 eV	$^1E + ^1T_2$	
3F	-1.8 eV	$^3A_2 + ^3T_1 + ^3T_2$	$(T_2) + (A_1 + E_1 + T_1 + T_2) + (A_2 + E + T_1 + T_2)$
1G	0.8 eV	$^1A_1 + ^1T_1 + ^1T_2 + ^1E$	



Conf.	SO3	Oh	Spin in Oh	Deg.	Overall Symmetry in Oh
3d <sup>0</sup>	$^1S_0$	$^1A_1$	$A_1$	1	$A_1$
3d <sup>1</sup>	$^2D_{3/2}$	$^2T_2$	$U_1$	2	$U_2 + G$
3d <sup>2</sup>	$^3F_2$	$^3T_1$	$T_1$	4	$E+T_1+T_2+A_1$
3d <sup>3</sup>	$^4F_{3/2}$	$^4A_2$	$G$	1	$G$
3d <sup>4</sup>	$^5D_0$	$^5E$	$E+T_2$	5	$A_1+A_2+E+T_1+T_2$
		$^3T_1$	$T_1$	4	$E+T_1+T_2+A_1$
3d <sup>5</sup>	$^6S_{5/2}$	$^6A_1$	$G+U_2$	2	$G+U_2$
		$^2T_2$	$U_1$	2	$G+U_2$
3d <sup>6</sup>	$^5D_2$	$^5T_2$	$E+T_2$	6	$A_1+E+T_1+T_1+T_2+T_2$
		$^1A_1$	$A_1$	1	$A_1$
3d <sup>7</sup>	$^4F_{9/2}$	$^4T_1$	$G$	4	$U_1+U_2+G+G$
		$^2E$	$U_1$	1	$G$
3d <sup>8</sup>	$^3F_4$	$^3A_2$	$T_1$	1	$T_2$
3d <sup>9</sup>	$^2D_{5/2}$	$^2E$	$U_1$	1	$G$

